



CLIENT REGISTRATION FORM

Account Opening Form | **Individual**
Broking / Depository & Distribution

360 ONE Distribution Services Limited
(Formerly known as IIFL Wealth Distribution Services Limited)

KYC Section

This Section has to be filled only if clients are not KRA / CKYC compliant.

OR

Any modification has to be done in Existing KRA / CKYC details.

Instructions/Guidelines for filling KYC Application Form

A. General Instructions

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. KYC number of applicant is mandatory for update/change of KYC details.
3. For particular section update, please tick (P) in the box available before the section number and strike off the sections not required to be updated.
4. Copies of all documents that are submitted need to be compulsorily self-attested by the applicant and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the list mentioned under [I].
5. If any proof of identity or address is in a foreign language, then translation into English is required.
6. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
7. If correspondence & permanent addresses are different, then proofs for both have to be submitted.
8. Sole proprietor must make the application in his individual name & capacity.
9. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/and overseas address proof is mandatory.
10. In case of Merchant Navy NRI's, Mariners declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
11. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
12. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.

B. Clarification /Guidelines on filling 'Identity Details' section

1. Name: Please state the name with Prefix (Mr./Mrs./Ms./Dr/etc.). The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
2. Either father's name or spouse's name is to be mandatorily furnished. In case PAN is not available father's name is mandatory.

C. Clarification/Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India

1. Tax identification Number (TIN): TIN need not be reported if it has not been issued by the jurisdiction, However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include, a social security/insurance number, citizen/personal identification/services code/number, and resident registration number)

D. Clarification / Guidelines on filling 'Proof of Identity [Pol]' section. if PAN Card copy is not enclosed/For PAN exempt Investors

1. If driving license number or passport is provided as proof of identity then expiry date is to be mandatorily furnished.
2. Mention identification / reference number if 'Z— Others (any document notified by the central government)' is ticked.
3. Others includes — Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill); Bank account or Post Office savings bank account statement; Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India; Identity card with applicant's photograph and address issued by any of the following: Central State Government Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council, etc., to their Members; and Credit cards/Debit cards issued by Banks.
4. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co-Operative Bank / Multinational Foreign Banks / Gazetted Officer / Notary public / Elected representatives to the Legislative Assembly / Parliament / Documents issued by any Govt. or Statutory Authority.
5. For FPI, Power of Attorney given by FPI to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address should be taken.
6. The proof of address in the name of the spouse may be accepted.
7. POA for Resident Individual Constituent opting for only Equity Segment under Saral KYC Form would be obtained vide SEBI CIR/MIRSD/1/2015 dated March 04, 2015.

E. Clarification / Guidelines on filling 'Proof of Address [PoA] section

1. PoA to be submitted only if the submitted Pol does not have an address or address as per Pol is invalid or not in force.
2. State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
3. Others includes — Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill); Bank account or Post Office savings bank account statement; Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India; Identity card with applicant's photograph and address issued by any of the following: Central State Government Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council, etc., to their Members; and Credit cards/Debit cards issued by Banks.
4. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co-Operative Bank / Multinational Foreign Banks / Gazetted Officer / Notary public / Elected representatives to the Legislative Assembly / Parliament / Documents issued by any Govt. or Statutory Authority.
5. For FPI, Power of Attorney given by FPI to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address should be taken.
6. The proof of address in the name of the spouse may be accepted.
7. POA for Resident Individual Constituent opting for only Equity Segment under Saral KYC Form would be obtained vide SEBI CIR/MIRSD/1/2015 dated March 04, 2015.

F. Clarification / Guidelines on filling 'Contact details' section

1. Please mention two- digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
2. Do not add 'O' in the beginning of Mobile number.

G. Clarification / Guidelines on filling 'Related Person details - Proof of Identity [Pol] of Related Person' section

1. Mention identification / reference number if 'Z- Others (any document notified by the central government)' is ticked.

H. For receiving statement of account in electronic Form:

1. Client must ensure the confidentiality of the password of the Email account/Mobile.
2. Client must promptly inform 360 ONE Distribution Services Ltd. (360 ONE DSL) if the email address has changed.
3. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, 360 ONE Distribution Services Ltd. may also terminate this facility by giving 7 days prior notice.

CENTRAL KYC REGISTRY I Instructions/ Check list/ Guidelines for filling Individual KYC Application Form

A Clarification/ Guidelines on filling 'Personal Details' section

- 1 Name: The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- 2 One the following is mandatory: Mother's name, Spouse's name, Father's name.

B Clarification / Guidelines on filling 'Current Address details' section

- 1 In case of deemed PoA such as utility bill, etc. or self declaration, the document need not be uploaded on CKYCR
- 2 PoA to be submitted only if the submitted Pol does not have current address or address as per Pol is invalid or not in force.
- 3 State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
- 4 In Section 2, one of I, II, and III is to be selected. In case of online E-KYC authentication, II is to be selected.
- 5 In Section 3, one of I, II, III and IV is to be selected. In case of online E-KYC authentication, II is to be selected.
- 6 List of documents for 'Deemed Proof of Address':

Document Code	Description
01	Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill).
02	Property or Municipal tax receipt.
03	Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address.
04	Letter of allotment of accommodation from employer issued by State Government or Central Government Departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies and leave and licence agreements with such employers allotting official accommodation.
- 7 Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while uploading on CKYCR.
- 8 "Equivalent e-document" means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per rule 9 of the Information Technology (Preservation and Retention of Information by Intermediaries Providing Digital Locker Facilities) Rules, 2016.
- 9 'Digital KYC process' has to be carried out as stipulated in the PML Rules, 2005.
- 10 REs may use the Self Declaration check box where Aadhaar authentication has been carried out successfully for a client and client wants to provide a current address, different from the address as per the identity information available in the Central Identities Data Repository

C Clarification / Guidelines on filling 'Contact details' section

- 1 Please mention two- digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91 -9999999999).
- 2 Do not add '0' in the beginning of Mobile number.

D Clarification/ Guidelines on filling 'Related Person details' section

- 1 Provide KYC number of related person, if available.

E Clarification on Minor

- 1 Guardian details are optional for minors above 10 years of age for opening of bank account only
- 2 However, in case guardian details are available for minor above 10 years of age, the same (or CKYCR number of guardian) is to be uploaded.

List of two digit state / U.T codes as per Indian Motor Vehicle Act, 1988

State/U.T	Code	State / U.T	Code	State / U.T	Code
Andaman & Nicobar	AN	Himachal Pradesh	HP	Pondicherry	PY
Andhra Pradesh	AP	Jammu & Kashmir	JK	Punjab	PB
Arunachal Pradesh	AR	Jharkhand	JH	Rajasthan	RJ
Assam	AS	Karnataka	KA	Sikkim	SK
Bihar	BR	Kerala	KL	Tamil Nadu	TN
Chandigarh	CH	Lakshadweep	LD	Telangana	TS
Chattisgarh	CG	Madhya Pradesh	MP	Tripura	TR
Dadra & Nagar Haveli and Daman & Diu	DD	Maharashtra	MH	Uttar Pradesh	UP
Ladakh	LA	Manipur	MN	Uttarakhand	UA
Delhi	DL	Meghalaya	ML	West Bengal	WB
Goa	GA	Mizoram	MZ	Other	XX
Gujarat	GJ	Nagaland	NL		
Haryana	HR	Orissa	OR		

List of ISO 3166 two digit Country Code

Country	Country Code	Country	Country Code	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY	Saint Pierre and Miquelon	PM
Aland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GO	Macao	MO	Sao Tome and Principe	ST
Andorra	AD	Eritrea	ER	Macao, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	AI	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Island	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Maritonic	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Island	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Moyotte	YT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	MX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SI
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Nambia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	NR	Taiwan province of China	TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	IO	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Hearc Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hongkong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW	Uganda	UG
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY	United States	US
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM	Jersey	JF	Pitcairn	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL	Vanuatu	VU
Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya	KE	Puerto Rico	PR	Viet Nam	VN
Costa Rica	CR	Kiribati	KI	Qatar	QA	Virgin Islands, British	VG
Cote d'Ivoire (Cote d'Ivoire)	CI	Korea, Democratic People's Republic of	KP	Reunion (Reunion)	RE	Virgin Island, U.S.	VI
Croatia	HR	Korea, Republic of	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU	Western Sahara	EH
Curacao (Curacao)	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy (Saint Barthe, Jerry)	BL	Zambia	ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascension and Tristan da Cunha	SH	Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kitts and Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
Dominica	DM	Liberia	LR	Saint Martin (French Part)	MF		

PART- I - KYC AND ACCOUNT OPENING FORM - FOR INDIVIDUAL - 1st / First Holder

1. PERSONAL DETAILS

	Prefix	First Name	Middle Name	Last Name		
Name (same as ID proof)	_____					
Father / Spouse Name	_____					
Mother's Name	_____					
Date of Birth	_____	Gender	<input type="checkbox"/> Male	<input type="checkbox"/> Female	<input type="checkbox"/> Transgender	
Marital Status	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others _____			
Citizenship	<input type="checkbox"/> IN-Indian	<input type="checkbox"/> Others - Country _____		Country Code _____		
Residential Status	<input type="checkbox"/> Resident	<input type="checkbox"/> Non Resident	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Person of Indian Origin		
Occupation Type	<input type="checkbox"/> Service	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Govt. Sector	<input type="checkbox"/> Others	<input type="checkbox"/> Professional
	<input type="checkbox"/> Self Employed	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife	<input type="checkbox"/> Student	<input type="checkbox"/> Business	<input type="checkbox"/> Not Categorised
Nature of Business	<input type="checkbox"/> Manufacturer	<input type="checkbox"/> Services	<input type="checkbox"/> Trading	<input type="checkbox"/> Consultancy	<input type="checkbox"/> Others _____	
Client Category	<input type="checkbox"/> VCP	<input type="checkbox"/> Exporter	<input type="checkbox"/> Hedger	<input type="checkbox"/> Importer	<input type="checkbox"/> Financial Participant	<input type="checkbox"/> Trader
	<input type="checkbox"/> Arbitrager	<input type="checkbox"/> Commercial Participant	<input type="checkbox"/> Non-Commercial Participant			
Please tick, if applicable	<input type="checkbox"/> Politically Exposed Person (PEP)		<input type="checkbox"/> Related to a Politically Exposed Person (RPEP)		<input type="checkbox"/> NA	
Whether you wish to avail the internet trading facility	<input type="checkbox"/> Yes		<input type="checkbox"/> No			

Please affix the recent passport size photograph sign across it

2. CURRENT/ PERMANENT/ OVERSEAS ADDRESS DETAILS

Line 1 _____

Line 2 _____

Line 3 _____ City /Town/Village _____

District _____ Zip/Post Code _____ State/UT Code as per Indian Motor Vehicle Act, 1988

State/UT _____ Country _____ Country Code as per ISO 3166

Address Type Residential/ Business Residential Business Registered Office Unspecified

CORRESPONDENCE/ LOCAL ADDRESS DETAILS

Line 1 _____

Line 2 _____

Line 3 _____ City /Town/Village _____

District _____ Zip/Post Code _____ State/UT Code as per Indian Motor Vehicle Act, 1988

State/UT _____ Country _____ Country Code as per ISO 3166

Address Type Residential/ Business Residential Business Registered Office Unspecified

3. PROOF SUBMITTED FOR IDENTITY & ADDRESS

PAN Number (Mandatory) _____	CKYC Number _____
Passport Number _____	Passport Expiry Date _____
Driving Licence Number _____	Driving Licence Expiry Date _____
Aadhaar Card _____	NREGA Job Card _____
Voter ID Card _____	National Population Register Letter _____
Others _____	_____

Applicable Only For NRI Client

For non-residents and foreign nationals, copy of passport/Persons of Indian Origin (PIO) Card/ Overseas Citizenship of India (OCI) Card and overseas address proof should be mandatory.

4. TYPE OF ACCOUNT (FOR DEMAT - STATUS / SUB - STATUS)

Ordinary Resident NRI - Repatriable NRI - Non Repatriable Director Margin Promoter

5. CONTACT DETAILS

Tel (Off) _____ Mobile _____ Tel (Res) _____
 Email _____ Fax No. _____

(I/We declare that the above is my /our personal mobile number and/ or email address.)

6. GST REGISTERED (GOODS AND SERVICES TAX)

Yes No Note: Proof of GST registration is required if selected Yes.

GSTIN No (if GST Registered) _____

The Goods and Service Tax Number (GSTN) is correct and 360 ONE DSL will not be responsible for verification of GSTN. If the GSTN not provided, it will be considered as unregistered and will be unable to claim the input tax credit on the fees and charges paid.

7. GROSS ANNUAL INCOME

a) Income range per annum Below Rs. 1,00,000 Rs. 1,00,001 to Rs. 5,00,000 Rs. 5,00,001 to Rs. 10,00,000
 Rs. 10,00,001 to Rs. 25,00,000 Rs. 25,00,001 to Rs. 1,00,00,000 More than Rs. 1,00,00,000

b) Networth Rs. (Cr.) (Mandatory) _____ as on

D	D	M	M	Y	Y	Y	Y
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 (Networth should not be older than one year)

8. FATCA/CRS INFORMATION (tick If Applicable)

A. Residence for Tax Purposes in Jurisdiction(s) outside India B. Not Applicable

Additional Details Required (mandatory only if above option (A) is ticked)

Country of Jurisdiction of Residence _____ Country Code of Jurisdiction of Residence

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 as per ISO 3166

Tax Identification Number or equivalent (If issued by jurisdiction) _____

Place/City of Birth _____ Country of Birth _____ Country Code

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 as per ISO 3166

Address in the jurisdiction where applicant is resident outside India for tax purpose

Line 1 _____

Line 2 _____

Line 3 _____ District _____ Zip Code _____

State/Province _____ Country _____ Country Code _____

APPLICANT DECLARATION

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/ our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be liable for it I/We hereby authorize 360 ONE Distribution Services Limited to disclose, share, remit in any form, mode or manner, all/ any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/ us to associates of 360 ONE Group, issuers, brokers, banks and other relevant parties ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities/agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/ us of the same, Further, I/We authorize to share the given information to other Authorised Parties to facilitate single submission / updation & for other relevant purposes, I/We also undertake to keep you informed in writing about any changes / modification to the above information in future promptly, i.e., within 30 days and also undertake to provide any other additional information as may be required at your end, As may be required by domestic or overseas regulators / tax authorities, I/We authorize the Authorised Parties to withhold and pay out any sums from your account or close or suspend your account(s) without any obligation of advising me of the same. I/We hereby authorise you to kindly update/modify KRA/CKYC basis the documents submitted and also to update the account details basis any changes done on KRA/CKYC. The purpose of collection/usage of Aadhaar number including demographic information is to comply with applicable laws/rules/regulations.

I/We hereby provide my/our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (iii) updating my/our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA.

I/We hereby provide my/our consent for sharing/disclosing of the Aadhaar number(s) including demographic information with SEBI registered intermediaries for the purpose of updating all my/our accounts with my/our PAN.

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes there in, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby declare that I am not making this application for the purpose of contravention of any Act. Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time.

I/We hereby declare that I/we are Fit & Proper person as per regulation 20 of SECC regulation 2012 for executing transactions in the securities of the listed exchanges.

I hereby consent to receiving information from Central KYC/ KRA Registry through SMS/Email on the above registered number /email address.

I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digi locker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

I/We hereby also give my/our consent to download my/our KYC Records from the Central KYC Registry (CKYCR) & KYC Registration agency (KRA), only for the purpose of verification of my/our identity and address from the database of CKYC & KRA Registry. I/We understand that my/our KYC record includes my/our KYC records /Personal information such as my/our name, address, date of birth, PAN number etc. Further kindly treat this as a one-time consent from me/us for downloading my/our KYC records from the Central KYC Registry (CKYCR)/KYC Registration agency (KRA) as & when the same would be required for any investment purposes or for any modifications to be done in my/our accounts.

Signature / Thumb Impression of 1st Applicant

Date : _____

Place : _____

PART - I - KYC AND ACCOUNT OPENING FORM - FOR INDIVIDUAL - 2nd / Second Holder

1. PERSONAL DETAILS

	Prefix	First Name	Middle Name	Last Name		
Name (same as ID proof)	_____					
Father / Spouse Name	_____					
Mother's Name	_____					
Date of Birth	_____	Gender	<input type="checkbox"/> Male	<input type="checkbox"/> Female	<input type="checkbox"/> Transgender	
Marital Status	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others _____			
Citizenship	<input type="checkbox"/> IN-Indian	<input type="checkbox"/> Others - Country _____ Country Code				
Residential Status	<input type="checkbox"/> Resident	<input type="checkbox"/> Non Resident	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Person of Indian Origin		
Occupation Type	<input type="checkbox"/> Service	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Govt. Sector	<input type="checkbox"/> Others	<input type="checkbox"/> Professional
	<input type="checkbox"/> Self Employed	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife	<input type="checkbox"/> Student	<input type="checkbox"/> Business	<input type="checkbox"/> Not Categorised
Nature of Business	<input type="checkbox"/> Manufacturer	<input type="checkbox"/> Services	<input type="checkbox"/> Trading	<input type="checkbox"/> Consultancy	<input type="checkbox"/> Others _____	
Please tick, if applicable	<input type="checkbox"/> Politically Exposed Person (PEP)	<input type="checkbox"/> Related to a Politically Exposed Person (RPEP)	<input type="checkbox"/> NA			

Please affix the recent passport size photograph sign across it

2. CURRENT/ PERMANENT/ OVERSEAS ADDRESS DETAILS

Line 1 _____

Line 2 _____

Line 3 _____ City /Town/Village _____

District _____ Zip/Post Code _____ State/UT Code as per Indian Motor Vehicle Act, 1988

State/UT _____ Country _____ Country Code as per ISO 3166

Address Type Residential/ Business Residential Business Registered Office Unspecified

CORRESPONDENCE/ LOCAL ADDRESS DETAILS

Line 1 _____

Line 2 _____

Line 3 _____ City /Town/Village _____

District _____ Zip/Post Code _____ State/UT Code as per Indian Motor Vehicle Act, 1988

State/UT _____ Country _____ Country Code as per ISO 3166

Address Type Residential/ Business Residential Business Registered Office Unspecified

3. PROOF SUBMITTED FOR IDENTITY & ADDRESS

PAN Number (Mandatory) _____	CKYC Number _____
Passport Number _____	Passport Expiry Date _____
Driving Licence Number _____	Driving Licence Expiry Date _____
Aadhaar Card _____	NREGA Job Card _____
Voter ID Card _____	National Population Register Letter _____
Others _____	Applicable Only For NRI Client

For non-residents and foreign nationals, copy of passport/Persons of Indian Origin (PIO) Card/ Overseas Citizenship of India (OCI) Card and overseas address proof should be mandatory.

4. CONTACT DETAILS

Tel (Off) _____ Mobile _____ Tel (Res) _____

Email _____ Fax No. _____

(I/We declare that the above is my /our personal mobile number and/ or email address.)

5. GROSS ANNUAL INCOME

- a) Income range per annum Below Rs. 1,00,000 Rs. 1,00,001 to Rs. 5,00,000 Rs. 5,00,001 to Rs. 10,00,000
 Rs. 10,00,001 to Rs. 25,00,000 Rs. 25,00,001 to Rs. 1,00,00,000 More than Rs. 1,00,00,000
- b) Networth Rs. (Cr.) (Mandatory) _____ as on (Networth should not be older than one year)

6. FATCA/CRS INFORMATION (tick If Applicable) A. Residence for Tax Purposes in Jurisdiction(s) outside India B. Not Applicable

Additional Details Required (mandatory only if above option (A) is ticked)

Country of Jurisdiction of Residence _____ Country Code of Jurisdiction of Residence as per ISO 3166

Tax Identification Number or equivalent (If issued by jurisdiction) _____

Place/City of Birth _____ Country of Birth _____ Country Code as per ISO 3166

Address in the jurisdiction where applicant is resident outside India for tax purpose

Line 1 _____

Line 2 _____

Line 3 _____ District _____ Zip Code _____

State/Province _____ Country _____ Country Code _____

APPLICANT DECLARATION

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/ our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be liable for it I/We hereby authorize 360 ONE Distribution Services Limited to disclose, share, remit in any form, mode or manner, all/ any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/ us to associates of 360 ONE Group, issuers, brokers, banks and other relevant parties ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities/agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/ us of the same, Further, I/We authorize to share the given information to other Authorised Parties to facilitate single submission / updation & for other relevant purposes, I/We also undertake to keep you informed in writing about any changes / modification to the above information in future promptly, i.e., within 30 days and also undertake to provide any other additional information as may be required at your end, As may be required by domestic or overseas regulators / tax authorities, I/We authorize the Authorised Parties to withhold and pay out any sums from your account or close or suspend your account(s) without any obligation of advising me of the same. I/We hereby authorise you to kindly update/modify KRA/CKYC basis the documents submitted and also to update the account details basis any changes done on KRA/CKYC. The purpose of collection/usage of Aadhaar number including demographic information is to comply with applicable laws/rules/regulations.

I/We hereby provide my/our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (iii) updating my/our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA.

I/We hereby provide my/our consent for sharing/disclosing of the Aadhaar number(s) including demographic information with SEBI registered intermediaries for the purpose of updating all my/our accounts with my/our PAN.

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes there in, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby declare that I am not making this application for the purpose of contravention of any Act. Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time.

I/We hereby declare that I/we are Fit & Proper person as per regulation 20of SECC regulation 2012 for executing transactions in the securities of the listed exchanges.

I hereby consent to receiving information from Central KYC/ KRA Registry through SMS/Email on the above registered number /email address.

I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digi locker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

I/We hereby also give my/our consent to download my/our KYC Records from the Central KYC Registry (CKYCR) & KYC Registration agency (KRA), only for the purpose of verification of my/our identity and address from the database of CKYC & KRA Registry. I/We understand that my/our KYC record includes my/our KYC records /Personal information such as my/our name, address, date of birth, PAN number etc. Further kindly treat this as a one-time consent from me/us for downloading my/our KYC records from the Central KYC Registry (CKYCR)/KYC Registration agency (KRA) as & when the same would be required for any investment purposes or for any modifications to be done in my/our accounts.

Signature / Thumb Impression of 2nd Applicant

Date : _____

Place : _____

PART- I - KYC AND ACCOUNT OPENING FORM - FOR INDIVIDUAL - 3rd / Third Holder

1. PERSONAL DETAILS

	Prefix	First Name	Middle Name	Last Name		
Name (same as ID proof)	_____					
Father / Spouse Name	_____					
Mother's Name	_____					
Date of Birth	_____	Gender	<input type="checkbox"/> Male	<input type="checkbox"/> Female	<input type="checkbox"/> Transgender	
Marital Status	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others _____			
Citizenship	<input type="checkbox"/> IN-Indian	<input type="checkbox"/> Others - Country _____ Country Code				
Residential Status	<input type="checkbox"/> Resident	<input type="checkbox"/> Non Resident	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Person of Indian Origin		
Occupation Type	<input type="checkbox"/> Service	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Govt. Sector	<input type="checkbox"/> Others	<input type="checkbox"/> Professional
	<input type="checkbox"/> Self Employed	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife	<input type="checkbox"/> Student	<input type="checkbox"/> Business	<input type="checkbox"/> Not Categorised
Nature of Business	<input type="checkbox"/> Manufacturer	<input type="checkbox"/> Services	<input type="checkbox"/> Trading	<input type="checkbox"/> Consultancy	<input type="checkbox"/> Others _____	
Please tick, if applicable	<input type="checkbox"/> Politically Exposed Person (PEP)	<input type="checkbox"/> Related to a Politically Exposed Person (RPEP)	<input type="checkbox"/> NA			

Please affix the recent passport size photograph sign across it

2. CURRENT/ PERMANENT/ OVERSEAS ADDRESS DETAILS

Line 1 _____

Line 2 _____

Line 3 _____ City /Town/Village _____

District _____ Zip/Post Code _____ State/UT Code as per Indian Motor Vehicle Act, 1988

State/UT _____ Country _____ Country Code as per ISO 3166

Address Type Residential/ Business Residential Business Registered Office Unspecified

CORRESPONDENCE/ LOCAL ADDRESS DETAILS

Line 1 _____

Line 2 _____

Line 3 _____ City /Town/Village _____

District _____ Zip/Post Code _____ State/UT Code as per Indian Motor Vehicle Act, 1988

State/UT _____ Country _____ Country Code as per ISO 3166

Address Type Residential/ Business Residential Business Registered Office Unspecified

3. PROOF SUBMITTED FOR IDENTITY & ADDRESS

PAN Number (Mandatory) _____	CKYC Number _____
Passport Number _____	Passport Expiry Date _____
Driving Licence Number _____	Driving Licence Expiry Date _____
Aadhaar Card _____	NREGA Job Card _____
Voter ID Card _____	National Population Register Letter _____
Others _____	Applicable Only For NRI Client

For non-residents and foreign nationals, copy of passport/Persons of Indian Origin (PIO) Card/ Overseas Citizenship of India (OCI) Card and overseas address proof should be mandatory.

4. CONTACT DETAILS

Tel (Off) _____ Mobile _____ Tel (Res) _____

Email _____ Fax No. _____

(I/We declare that the above is my /our personal mobile number and/ or email address.)

5. GROSS ANNUAL INCOME

- a) Income range per annum Below Rs. 1,00,000 Rs. 1,00,001 to Rs. 5,00,000 Rs. 5,00,001 to Rs. 10,00,000
 Rs. 10,00,001 to Rs. 25,00,000 Rs. 25,00,001 to Rs. 1,00,00,000 More than Rs. 1,00,00,000
- b) Networth Rs. (Cr.) (Mandatory) _____ as on (Networth should not be older than one year)

6. FATCA/CRS INFORMATION (tick If Applicable) A. Residence for Tax Purposes in Jurisdiction(s) outside India B. Not Applicable

Additional Details Required (mandatory only if above option (A) is ticked)

Country of Jurisdiction of Residence _____ Country Code of Jurisdiction of Residence as per ISO 3166

Tax Identification Number or equivalent (If issued by jurisdiction) _____

Place/City of Birth _____ Country of Birth _____ Country Code as per ISO 3166

Address in the jurisdiction where applicant is resident outside India for tax purpose

Line 1 _____

Line 2 _____

Line 3 _____ District _____ Zip Code _____

State/Province _____ Country _____ Country Code _____

APPLICANT DECLARATION

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/ our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be liable for it I/We hereby authorize 360 ONE Distribution Services Limited to disclose, share, remit in any form, mode or manner, all/ any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/ us to associates of 360 ONE Group, issuers, brokers, banks and other relevant parties ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities/agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/ us of the same, Further, I/We authorize to share the given information to other Authorised Parties to facilitate single submission / updation & for other relevant purposes, I/We also undertake to keep you informed in writing about any changes / modification to the above information in future promptly, i.e., within 30 days and also undertake to provide any other additional information as may be required at your end, As may be required by domestic or overseas regulators / tax authorities, I/We authorize the Authorised Parties to withhold and pay out any sums from your account or close or suspend your account(s) without any obligation of advising me of the same. I/We hereby authorise you to kindly update/modify KRA/CKYC basis the documents submitted and also to update the account details basis any changes done on KRA/CKYC. The purpose of collection/usage of Aadhaar number including demographic information is to comply with applicable laws/rules/regulations.

I/We hereby provide my/our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (iii) updating my/our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA.

I/We hereby provide my/our consent for sharing/disclosing of the Aadhaar number(s) including demographic information with SEBI registered intermediaries for the purpose of updating all my/our accounts with my/our PAN.

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes there in, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby declare that I am not making this application for the purpose of contravention of any Act. Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time.

I/We hereby declare that I/we are Fit & Proper person as per regulation 20 of SECC regulation 2012 for executing transactions in the securities of the listed exchanges.

I hereby consent to receiving information from Central KYC/ KRA Registry through SMS/Email on the above registered number /email address.

I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digi locker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

I/We hereby also give my/our consent to download my/our KYC Records from the Central KYC Registry (CKYCR) & KYC Registration agency (KRA), only for the purpose of verification of my/our identity and address from the database of CKYC & KRA Registry. I/We understand that my/our KYC record includes my/our KYC records /Personal information such as my/our name, address, date of birth, PAN number etc. Further kindly treat this as a one-time consent from me/us for downloading my/our KYC records from the Central KYC Registry (CKYCR)/KYC Registration agency (KRA) as & when the same would be required for any investment purposes or for any modifications to be done in my/our accounts.

Signature / Thumb Impression of 3rd Applicant

Date : _____

Place : _____

PART- I - KYC AND ACCOUNT OPENING FORM - GUARDIAN (IN CASE HOLDER IS MINOR)

1. PERSONAL DETAILS

	Prefix	First Name	Middle Name	Last Name		
Name (same as ID proof)	_____					
Father / Spouse Name	_____					
Mother's Name	_____					
Date of Birth	_____	Gender	<input type="checkbox"/> Male	<input type="checkbox"/> Female	<input type="checkbox"/> Transgender	
Marital Status	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others _____			
Citizenship	<input type="checkbox"/> IN-Indian	<input type="checkbox"/> Others - Country _____ Country Code _____				
Residential Status	<input type="checkbox"/> Resident	<input type="checkbox"/> Non Resident	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Person of Indian Origin		
Occupation Type	<input type="checkbox"/> Service	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Govt. Sector	<input type="checkbox"/> Others	<input type="checkbox"/> Professional
	<input type="checkbox"/> Self Employed	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife	<input type="checkbox"/> Student	<input type="checkbox"/> Business	<input type="checkbox"/> Not Categorised
Nature of Business	<input type="checkbox"/> Manufacturer	<input type="checkbox"/> Services	<input type="checkbox"/> Trading	<input type="checkbox"/> Consultancy	<input type="checkbox"/> Others _____	
Please tick, if applicable	<input type="checkbox"/> Politically Exposed Person (PEP)	<input type="checkbox"/> Related to a Politically Exposed Person (RPEP)	<input type="checkbox"/> NA			

Please affix the recent passport size photograph sign across it

2. CURRENT/ PERMANENT/ OVERSEAS ADDRESS DETAILS

Line 1 _____

Line 2 _____

Line 3 _____ City /Town/Village _____

District _____ Zip/Post Code _____ State/UT Code as per Indian Motor Vehicle Act, 1988

State/UT _____ Country _____ Country Code as per ISO 3166

Address Type Residential/ Business Residential Business Registered Office Unspecified

CORRESPONDENCE/ LOCAL ADDRESS DETAILS

Line 1 _____

Line 2 _____

Line 3 _____ City /Town/Village _____

District _____ Zip/Post Code _____ State/UT Code as per Indian Motor Vehicle Act, 1988

State/UT _____ Country _____ Country Code as per ISO 3166

Address Type Residential/ Business Residential Business Registered Office Unspecified

3. PROOF SUBMITTED FOR IDENTITY & ADDRESS

PAN Number (Mandatory) _____	CKYC Number _____
Passport Number _____	Passport Expiry Date _____
Driving Licence Number _____	Driving Licence Expiry Date _____
Aadhaar Card _____	NREGA Job Card _____
Voter ID Card _____	National Population Register Letter _____
Others _____	For non-residents and foreign nationals, copy of passport/Persons of Indian Origin (PIO) Card/ Overseas Citizenship of India (OCI) Card and overseas address proof should be mandatory.

Applicable Only For NRI Client

4. CONTACT DETAILS

Tel (Off) _____ Mobile _____ Tel (Res) _____

Email _____ Fax No. _____

(I/We declare that the above is my /our personal mobile number and/ or email address.)

5. GROSS ANNUAL INCOME

- a) Income range per annum Below Rs. 1,00,000 Rs. 1,00,001 to Rs. 5,00,000 Rs. 5,00,001 to Rs. 10,00,000
 Rs. 10,00,001 to Rs. 25,00,000 Rs. 25,00,001 to Rs. 1,00,00,000 More than Rs. 1,00,00,000
- b) Networth Rs. (Cr.) (Mandatory) _____ as on (Networth should not be older than one year)

6. FATCA/CRS INFORMATION (tick If Applicable) A. Residence for Tax Purposes in Jurisdiction(s) outside India B. Not Applicable

Additional Details Required (mandatory only if above option (A) is ticked)

Country of Jurisdiction of Residence _____ Country Code of Jurisdiction of Residence as per ISO 3166

Tax Identification Number or equivalent (If issued by jurisdiction) _____

Place/City of Birth _____ Country of Birth _____ Country Code as per ISO 3166

Address in the jurisdiction where applicant is resident outside India for tax purpose

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Line 2 _____

Line 3 _____ District _____ Zip Code _____

State/Province _____ Country _____ Country Code _____

APPLICANT DECLARATION

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/ our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be liable for it I/We hereby authorize 360 ONE Distribution Services Limited to disclose, share, remit in any form, mode or manner, all/ any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/ us to associates of 360 ONE Group, issuers, brokers, banks and other relevant parties ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities/agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/ us of the same, Further, I/We authorize to share the given information to other Authorised Parties to facilitate single submission / updation & for other relevant purposes, I/We also undertake to keep you informed in writing about any changes / modification to the above information in future promptly, i.e., within 30 days and also undertake to provide any other additional information as may be required at your end, As may be required by domestic or overseas regulators / tax authorities, I/We authorize the Authorised Parties to withhold and pay out any sums from your account or close or suspend your account(s) without any obligation of advising me of the same. I/We hereby authorise you to kindly update/modify KRA/CKYC basis the documents submitted and also to update the account details basis any changes done on KRA/CKYC. The purpose of collection/usage of Aadhaar number including demographic information is to comply with applicable laws/rules/regulations.

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I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes there in, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby declare that I am not making this application for the purpose of contravention of any Act. Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time.

I/We hereby declare that I/we are Fit & Proper person as per regulation 20of SECC regulation 2012 for executing transactions in the securities of the listed exchanges.

I hereby consent to receiving information from Central KYC/ KRA Registry through SMS/Email on the above registered number /email address.

I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digi locker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

I/We hereby also give my/our consent to download my/our KYC Records from the Central KYC Registry (CKYCR) & KYC Registration agency (KRA), only for the purpose of verification of my/our identity and address from the database of CKYC & KRA Registry. I/We understand that my/our KYC record includes my/our KYC records /Personal information such as my/our name, address, date of birth, PAN number etc. Further kindly treat this as a one-time consent from me/us for downloading my/our KYC records from the Central KYC Registry (CKYCR)/KYC Registration agency (KRA) as & when the same would be required for any investment purposes or for any modifications to be done in my/our accounts.

Signature / Thumb Impression of Guardian

Date : _____

Place : _____



CLIENT REGISTRATION FORM

Account Opening Form | **Individual**
Broking / Depository & Distribution

360 ONE Distribution Services Limited
(Formerly known as IIFL Wealth Distribution Services Limited)

Account Opening Form – Additional Details

Broking & Depository

360 ONE Distribution Services Limited

REGD. OFF. : 360 ONE Centre, Kamala City, Senapati Bapat Marg, Lower Parel Mumbai 400 013, India.
Designated Director : Anu Jain
Email : brokingcompliance@360.one
TEL. : (+91-22) 4876 5600

Compliance Officer : Dinesh Tanwar
Email : dinesh.tanwar@360.one
CORP. OFF. : 8th Floor, 360 ONE Centre, Kamala City, Senapati Bapat Marg,
 Lower Parel (W), Mumbai 400 013, India.
TEL. : (+91-22) 4876 5600
Email : clientservicing@360.one
Investor Grievance : IG@360.one
Website : www.360.one

360 ONE Distribution Services Ltd.

SEBI Reg. No. INZ000296339

Member of the National Stock Exchange of India Limited ("NSE") and
 BSE Limited ("BSE") on the Cash, F&O, CDS, WDM, SLBS

Member Code NSE: 90070 BSE: 6633

Member of Multi Commodity Exchange of India Ltd (MCX) &
 National Commodity & Derivatives Exchange Limited (NCDEX)

Member Code MCX: 55860 NCDEX: F01239

Depository - NSDL & CDSL - SEBI Reg. No. IN-DP-573-2021. U45201MH1995PLC228043

Research Analyst: SEBI Regn. No. INH000011431

**PLEASE READ THIS DOCUMENT CAREFULLY BEFORE AGREEING TO ITS CONTENTS AND
 ENSURE ALL THE DETAILS PROVIDED ARE CORRECT**

Login ID <input type="text"/>	CRN <input type="text"/>
Depository Service <input type="checkbox"/> CDSL	<input type="checkbox"/> NSDL
CDSL - Client ID <input type="text"/>	NSDL - Client ID <input type="text"/>

For Account Opening Team Purpose

Process	Employee Code & Name	Process	Employee Code & Name
Pre-Audit Maker		Date Entry Maker	
Pre-Audit Checker		Date Entry Checker	

SR. NO.	NAME OF THE DOCUMENT	BRIEF SIGNIFICANCE OF THE DOCUMENT
SECTION-A - MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI AND EXCHANGES		
1.	Risk Profiler	Risk Profiling of the client
2.	Tariff sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading and demat account.
3.	Declaration / Consent	Client Declaration / Consent
4.	Rights & Obligations of Stock Brokers, Sub-Broker & Clients	Document stating the Rights & Obligations of stock broker / trading member, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet / wireless technology-based trading).
5.	Rights & Obligations of Beneficial Owner & Depository Participant	Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories.
6.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.
7.	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.
8.	Policies and Procedures	Documents describing significant policies and procedures of 360 ONE Distribution Services Limited (360 ONE DSL) related to Trading Account of the client.
SECTION-B - VOLUNTARY DOCUMENTS - AS PROVIDED BY STOCK BROKER/ DEPOSITORY PARTICIPANT / DISTRIBUTOR		
1.	Family Declaration	Authorization For Receiving Communication On Common Mobile No. And Email Address For Trading And Demat Account
2.	Authorisation Letter/Standing Instructions	A. Running Account Authorisation B. Authorisation for Receipt of Contract Notes and Other documents in Digital Mode C. Authorisation for Online Trading Software Password.
3.	Terms & Conditions for Distribution of Securities	Terms & Conditions for Distribution of Securities
4.	Acknowledgement	Client Acknowledgement Copy
ADDITIONAL DOCUMENTS - VOLUNTARY		
	Power of Attorney & DDPI	Voluntary and Limited purpose POA for operational requirements.

Included in Client Copy

For any grievance / dispute please contact stock broker 360 ONE Distribution Services Limited at the above address or email id- IG@360.one and Phone no. 91-22 48765600. In case not satisfied with the response, please contact the concerned exchange(s) at backoffice@nse.co.in, Phone No. 1800220051/bsehelp@bseindia.com, Phone No. 022 61363100/ grievance@mcxindia.com, Phone No. 022 6731 8888 / askus@ncdex.com, Phone No. 022 6640 6613.

You can also lodge your grievances with SEBI at <https://scores.gov.in> for any queries, feedback or assistance, please contact SEBI on Toll-free helpline at 1800 22 7575 / 1800 266 7575.

NOTES

1. Additional documents in case of trading in derivatives segments - illustrative list : (any one of below)

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets.	Self declaration with relevant supporting documents.

*In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.

2. Copy of cancelled cheque leaf/ pass book/ bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.
3. Demat master or recent holding statement issued by DP bearing name of the client.
4. For individuals:
- Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office.
 - In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy/ Consulate General in the country where the client resides may be permitted.
5. All communication shall be sent at the correspondence address/ mobile number/ e-mail ID of the Sole/ First Holder only.
6. Thumb impressions and signature other than English or Hindi or any of the other language not contain in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
7. Minor: Trading a/c cannot be opened in name of Minor; Demat a/c - Joint a/cs not permitted with minor; PAN and Proof of address of both Minor and Guardian to be submitted
8. Currency Derivative Segment: NRI cannot deal in Currency Derivative Segment.
9. Trading a/c cannot be opened in joint names.
10. All corrections on the form should be countersigned with full signature. White ink is not allowed either in form or authorization.
11. Incase of an employee of another Member Broker, NOC from employer is required.

Please note that the mode of payment should be only by way of account payee crossed cheques/Demand draft in favour of "360 ONE Distribution Services Ltd. - (Client's Login id)". No cash receipts payments will be entertained for any transactions made by the client. 360 ONE DSL will not be responsible for any kind of claims raised by the clients regarding payment made in cash. Mobile number is compulsory for opening of Demat/ Trading account with 360 ONE DSL. In case of change in mobile number any time after opening of this account, for postpaid connection it is mandatory to submit the photocopy of the latest/ last 2 months mobile bill and for prepaid connection client must personally visit the branch/ FAN's along with their ID proof (eg. PAN Card/ Driving License/ Passport/ Election Voter ID) and get the same verified.

OTHER INFORMATION ABOUT 360 ONE DSL

<p>LIST OF DESIGNATED BANKS</p> <ol style="list-style-type: none"> HDFC BANK ICICI BANK <p>LIST OF DESIGNATED DEPOSITORY PARTICIPANTS</p> <ol style="list-style-type: none"> 360 ONE Distribution Services Ltd. <p>NOTE: 360 ONE DSL reserves the right to make any modifications in the above lists.</p>	<p>DISCLOSURE OF PROPRIETARY TRADING BY BROKER TO CLIENT:</p> <p>We refer to circular no. SEBI / MRD / SE / Cir.42 / 2003 dated 19/11/2003 issued by Securities and Exchange Board of India in connection with the above and in terms of the said circular we disclose that we have undertaken proprietary trading, arbitrage as well as investment business on our account in addition to Client based business</p>
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INDIVIDUAL

FIRST APPLICANT NAME

First Applicant Name		PAN	
Mother's Name			

GROSS ANNUAL INCOME

Income range per annum

<input type="checkbox"/> Below Rs.1,00,000	<input type="checkbox"/> Rs.1,00,001 to Rs.5,00,000	<input type="checkbox"/> Rs.5,00,001 to Rs.10,00,000
<input type="checkbox"/> Rs.10,00,001 to Rs.25,00,000	<input type="checkbox"/> Rs.25,00,001 to Rs.1,00,00,000	<input type="checkbox"/> More than Rs.1,00,00,000

Networth Rs. (Cr.) (Mandatory) _____ as on _____ (Networth should not be older than one year)

FIRST APPLICANT OCCUPATION & PEP STATUS

Service Private Sector Public Sector Govt. Sector Others Professional Self Employed
 Retired Housewife Student Business Not Categorized Politically Exposed Person (PEP)
 Related to a Politically Exposed Person (RPEP) NA

Residential Status Resident NRE (Repatriable) NRO (Non-Repatriable) Foreign National Person of Indian Origin

I am KRA/CKYC compliant Yes No

Please update my details as per existing KRA records in my/our new account opening

Please update my details as per existing CKYC records in my/our new account opening

FATCA/CRS INFORMATION (tick If Applicable)

A. Residence for Tax Purposes in Jurisdiction(s) outside India B. Not Applicable
 Additional Details Required to be filled in page no 03 (mandatory only if above option (A) is ticked)

SECOND APPLICANT / GUARDIAN NAME

Second Applicant Name		PAN	
Mother's Name			

GROSS ANNUAL INCOME

Income range per annum

<input type="checkbox"/> Below Rs.1,00,000	<input type="checkbox"/> Rs.1,00,001 to Rs.5,00,000	<input type="checkbox"/> Rs.5,00,001 to Rs.10,00,000
<input type="checkbox"/> Rs.10,00,001 to Rs.25,00,000	<input type="checkbox"/> Rs.25,00,001 to Rs.1,00,00,000	<input type="checkbox"/> More than Rs.1,00,00,000

Networth Rs. (Cr.) (Mandatory) _____ as on _____ (Networth should not be older than one year)

SECOND APPLICANT OCCUPATION & PEP STATUS

Service Private Sector Public Sector Govt. Sector Others Professional Self Employed
 Retired Housewife Student Business Not Categorized Politically Exposed Person (PEP)
 Related to a Politically Exposed Person (RPEP) NA

Residential Status Resident NRE (Repatriable) NRO (Non-Repatriable) Foreign National Person of Indian Origin

I am KRA/CKYC compliant Yes No

Please update my details as per existing KRA records in my/our new account opening

Please update my details as per existing CKYC records in my/our new account opening

FATCA/CRS INFORMATION (tick If Applicable)

A. Residence for Tax Purposes in Jurisdiction(s) outside India B. Not Applicable
 Additional Details Required to be filled in page no 03 (mandatory only if above option (A) is ticked)

THIRD APPLICANT NAME

Third Applicant Name		PAN	
Mother's Name			

GROSS ANNUAL INCOME

Income range per annum

<input type="checkbox"/> Below Rs.1,00,000	<input type="checkbox"/> Rs.1,00,001 to Rs.5,00,000	<input type="checkbox"/> Rs.5,00,001 to Rs.10,00,000
<input type="checkbox"/> Rs.10,00,001 to Rs.25,00,000	<input type="checkbox"/> Rs.25,00,001 to Rs.1,00,00,000	<input type="checkbox"/> More than Rs.1,00,00,000

Networth Rs. (Cr.) (Mandatory) _____ as on _____ (Networth should not be older than one year)

THIRD APPLICANT OCCUPATION & PEP STATUS

Service Private Sector Public Sector Govt. Sector Others Professional Self Employed
 Retired Housewife Student Business Not Categorized Politically Exposed Person (PEP)
 Related to a Politically Exposed Person (RPEP) NA

Residential Status Resident NRE (Repatriable) NRO (Non-Repatriable) Foreign National Person of Indian Origin

I am KRA/CKYC compliant Yes No

Please update my details as per existing KRA records in my/our new account opening

Please update my details as per existing CKYC records in my/our new account opening

FATCA/CRS INFORMATION (tick If Applicable)

A. Residence for Tax Purposes in Jurisdiction(s) outside India B. Not Applicable
 Additional Details Required to be filled in page no 03 (mandatory only if above option (A) is ticked)

APPLICABLE FOR INDIVIDUAL

MOBILE / EMAIL DECLARATION					
	Mobile	Family Declaration	Email	Family Declaration	Remarks
First Applicant					Day end transaction confirmation
					Transaction Authorisation
					One time call verification
Second Applicant					Day end transaction confirmation
					Transaction Authorisation
					One time call verification
Third Applicant					Day end transaction confirmation
					Transaction Authorisation
					One time call verification
<p>Definition of Family (as per SEBI) – Family includes self, spouse, dependent children and dependent parents. (Applicable for broking / demat accounts) Additionally, I/We hereby declare that the mobile numbers or E-mail addresses provided by me/us do not pertain to any participant / brokers / portfolio managers / etc. or their employees or any other person/s.</p> <p>Definition of Family (as per AMFI) – Family includes self, spouse, dependent children and dependent parents, dependent sibling, guardian, PMS, custodian, PoA. (Applicable for Mutual Fund investments / other investments) Additionally, I/We hereby declare that the mobile numbers or E-mail addresses provided by me/us do not pertain to any participant / brokers / portfolio managers / etc. or their employees or any other person/s.</p>					

I/We declare these details to be correct as per my/our signature affixed on page 09 of this form.

FATCA DECLARATION (FOR INDIVIDUALS)

FIRST APPLICANT

FATCA/CRS INFORMATION (tick If Applicable)

A. Residence for Tax Purposes in Jurisdiction(s) outside India B. Not Applicable

Additional Details Required (mandatory only if above option (A) is ticked)

Country of Jurisdiction of Residence		Country Code of Jurisdiction of Residence		as per ISO 3166
--------------------------------------	--	---	--	-----------------

Tax Identification Number or equivalent (If issued by jurisdiction)

Place/City of Birth		Country of Birth		Country Code		as per ISO 3166
---------------------	--	------------------	--	--------------	--	-----------------

Address in the jurisdiction where applicant is resident outside India for tax purpose

Line 1						
Line 2						
Line 3		District		Zip Code		
State/Province		Country		Country Code		

SECOND APPLICANT / GUARDIAN NAME

FATCA/CRS INFORMATION (tick If Applicable)

A. Residence for Tax Purposes in Jurisdiction(s) outside India B. Not Applicable

Additional Details Required (mandatory only if above option (A) is ticked)

Country of Jurisdiction of Residence		Country Code of Jurisdiction of Residence		as per ISO 3166
--------------------------------------	--	---	--	-----------------

Tax Identification Number or equivalent (If issued by jurisdiction)

Place/City of Birth		Country of Birth		Country Code		as per ISO 3166
---------------------	--	------------------	--	--------------	--	-----------------

Address in the jurisdiction where applicant is resident outside India for tax purpose

Line 1						
Line 2						
Line 3		District		Zip Code		
State/Province		Country		Country Code		

THIRD APPLICANT

FATCA/CRS INFORMATION (tick If Applicable)

A. Residence for Tax Purposes in Jurisdiction(s) outside India B. Not Applicable

Additional Details Required (mandatory only if above option (A) is ticked)

Country of Jurisdiction of Residence		Country Code of Jurisdiction of Residence		as per ISO 3166
--------------------------------------	--	---	--	-----------------

Tax Identification Number or equivalent (If issued by jurisdiction)

Place/City of Birth		Country of Birth		Country Code		as per ISO 3166
---------------------	--	------------------	--	--------------	--	-----------------

Address in the jurisdiction where applicant is resident outside India for tax purpose

Line 1						
Line 2						
Line 3		District		Zip Code		
State/Province		Country		Country Code		

I/We declare these details to be correct as per my/our signature affixed on page 09 of this form.

RISK PROFILER

This questionnaire endeavours to bring out your current attitude towards Risk, or the "Chance of Loss" and your view of how an investment should perform over the next decade. It will suggest what type of strategy you should adopt towards your investments. Since these answers will be used as inputs in your long-term asset allocation, we urge you to invest some time in understanding and answering each question.

We recommend that you answer the questionnaires without any inputs from your 360 ONE DSL representative

(Please tick any 1 option among those presented)

RISK ASSESSMENT

- | | |
|--|--|
| <p>1. My understanding of investments and financial products is best described as (single select, 1st option default, mandatory)</p> <p><input type="checkbox"/> A I do not understand anything about investment products</p> <p><input type="checkbox"/> B I have fair knowledge of investment products including managed solutions, MFs, etc.</p> <p><input type="checkbox"/> C I understand complex products also (eg. Derivatives, start-up investing, etc.)</p> | <p>2. Which of the following statements would be representative of your risk appetite?</p> <p><input type="checkbox"/> A I would not be comfortable losing more than 10%</p> <p><input type="checkbox"/> B I would not be comfortable losing more than 25%</p> <p><input type="checkbox"/> C I would be comfortable losing more than 25%</p> |
| <p>3. What is the source of your income? (single select, no default, mandatory)</p> <p><input type="checkbox"/> A Inheritance/ Gift / Sale of business</p> <p><input type="checkbox"/> B Interest & dividends on existing investments/ rental income</p> <p><input type="checkbox"/> C Salaried / Self employed/professional income / regular business income / Royalty</p> | <p>4. As a percentage of your overall financial portfolio, what would be the extent of your current borrowings:</p> <p><input type="checkbox"/> A >50%</p> <p><input type="checkbox"/> B 10-50%</p> <p><input type="checkbox"/> C 0-10%</p> |

Client categorization based on risk assessment and investment objectives questionnaire:

- Conservative - Score <=40
- Moderate - Score >40 -80
- Aggressive - Score >80.)

No. of A		X 10=	
No. of B		X 20=	
No. of C		X 30=	
		Total	

Disclaimer: This assessment is built on the theoretical correlation between risk tolerance, investment term, liquidity needs and attitude towards risk. Should the client not feel comfortable with the outcome of this assessment, his/her specific requirements will take precedence.

Client Declaration: I/we hereby confirm that I/we have read, reviewed and understood the above-mentioned risk assessment questionnaire and agree to my categorization, based on investment objectives and risk assessment.

I/We declare these details to be correct as per my/our signature affixed on page 09 of this form.

TRADING PREFERENCES

Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you

Exchanges	NSE, BSE			MCX, NCDEX, BSE & NSE	
All Segments	Cash / Mutual Fund	F&O	Currency	Debt	Commodity Derivatives
Signature				NA	

If you do not wish to trade in any of segments / Mutual Fund, please mention here _____

Note – Currently we are not active in below mentioned segments & hence we will not be enabling clients for these segments.

1) BSE Commodity Derivatives

2) NSE Commodity Derivatives

3) Debt – NSE & BSE

If in future, you want to trade on any new segment / new exchange, separate authorisation/ letter should be submitted to 360 ONE DSL.

Investment/Trading Experience & Preference (Commodities)

- No Prior Investment Experience Years in Commodities Years in other Investment Related Fields

Name of the Exchange	MCX	NCDEX
Date of consent for trading on concerned Exchange		

BROKING/DEMAT MANDATORY DETAILS

First Applicant Name		PAN	
Second Applicant Name		PAN	
Third Applicant Name		PAN	

EXISTING DEPOSITORY ACCOUNT DETAILS

NSDL		CDSL	
DP Name		DP Name	
DP ID	IN	Beneficiary Account No	

POA / EXISTING BANK ACCOUNT DETAILS

Bank Name			
Address			
Bank A/c No		IFSC Code	
MICR Code		Type of A/c	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> Others
PIS Permission Number		Date	

DEPOSITORY SERVICE

I/We wish to open Depository Account with	<input type="checkbox"/> CDSL: 12083300	<input type="checkbox"/> NSDL: IN304158
Name		PAN
For HUF, Association of persons (AOP), Partnership Firm, Unregistered Trust, etc, although the account is opened in the name of the natural persons, the name and PAN of the HUF, AOP, Partnership Firm, Unregistered Trust etc. should be as mentioned above		
I/We wish to open Basic Services Demat Account (BSDA)	<input type="checkbox"/> Yes	<input type="checkbox"/> No

STANDING INSTRUCTIONS / MODE OF OPERATIONS (FOR DEMAT ACCOUNT)

a. Account to be operated through Power of Attorney (PoA)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
b. I/We authorise you to receive credits automatically into my/our account	<input type="checkbox"/> Yes	<input type="checkbox"/> No
c. I/We wish to receive dividend/interest directly to my/our bank account given herein through ECS	<input type="checkbox"/> Yes	<input type="checkbox"/> No
d. Account Statement Requirement	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly (Default option is monthly)	
e. I would like to receive the Annual Report	<input type="checkbox"/> Physical <input type="checkbox"/> Electronic <input type="checkbox"/> Both Physical and Electronic (Tick the applicable box. If not marked the default option would be in physical)	
f. I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end. (if not marked, default option would be 'No')	<input type="checkbox"/> Yes	<input type="checkbox"/> No
g. I/We like to share the email id with the RTA that (if not marked, the default option would be 'Yes')	<input type="checkbox"/> Yes	<input type="checkbox"/> No
h. Mode of operations for Joint Accounts	<input type="checkbox"/> Jointly <input type="checkbox"/> Anyone of the holder or survivor(s)	
If mode of Operation for Joint Account is chosen as anyone of the holder or survivor(s), only specified operations such as transfer of securities including Inter-Depository Transfer, pledge / hypothecation / margin pledge / margin re-pledge (creation, closure and invocation and confirmation thereof as applicable) of securities and freeze/unfreeze of account and / or securities and / or specific number of securities will be permitted. If not marked, the default option would be "Jointly". I/We do not require the Delivery Instruction Slip (DIS) for the time being, since I/We have issued Power of Attorney in favour of 360 ONE Distribution Services Ltd. for settling through 360 ONE Distribution Services Ltd. <input type="checkbox"/> Yes <input type="checkbox"/> No However, the DIS booklet should be issued to me/us immediately on my/our request at any later date.		
i. I would like to receive the below documents through	<input type="checkbox"/> Physical <input type="checkbox"/> Electronic Mode	
1. Rights and Obligations	2. Uniform Risk Disclosure documents	3. Do's and Don't's
		4. All other communications
j. For Joint accounts, communication to be sent to	<input type="checkbox"/> First holder <input type="checkbox"/> All joint account holders	
Note : In case if 'first holder' is selected, the communication will be sent as per the preference mentioned at Sr. No. (i). In case 'All joint account holders' is opted, communication to first holder will be sent as per the preference mentioned at Sr. No. (i) and communication to other holders will be in electronic mode. The default option will be communication to 'first holder' if no option selected.		

TYPE OF ACCOUNT – INDIVIDUAL
TYPE OF ACCOUNT – INDIVIDUAL
 Ordinary Resident Repatriable Non-Repatriable Foreign National Margin Promoter Others _____

PROOF OF FINANCIAL DETAILS (MANDATORY IN CASE OF DERIVATIVES/ CURRENCY) ANY ONE
 Copy of ITR acknowledgement Copy of annual accounts Copy of Form 16 Net worth certificate
 Bank account statement for last 6 months Copy of Demat account holding statement

ADDITIONAL DETAILS (PAST ACTIONS IF ANY)

Details of any action/proceedings initiated/pending/taken by SEBI/Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years:

Particulars	Name of Authority	Month & Year of Action

DEALINGS THROUGH STOCK BROKERS AND OTHER SUB-BROKERS

Whether dealing with any other stockbroker/sub-broker (if case dealing with multiple stock brokers/sub-brokers, provide details of all)

Stockbroker's Name & Code	
Registered office address	

SEBI Registration number		Website	
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Sub-broker's Name & Code	
Registered office address	

SEBI Registration number		Website	
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Status Of Introducer	<input type="checkbox"/> Sub-Broker	<input type="checkbox"/> Authorised Person	<input type="checkbox"/> Remisier	<input type="checkbox"/> Existing Account Holder
	<input type="checkbox"/> Bank Official	<input type="checkbox"/> 360 ONE Employee	<input type="checkbox"/> Any Other Person	

Introducer's Name	
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Address	
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City		Country	
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State		PAN		Tel	
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First		PAN	
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Organization Name	
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AUTHORISATIONS TO 360 ONE DISTRIBUTION SERVICES LIMITED

A. RUNNING ACCOUNT AUTHORISATION

 Yes No

Authorisation to 360 ONE DISTRIBUTION SERVICES LTD.

- a) I would like to keep securities purchased by me with you as collateral for giving me exposure limits in my trading account/margin obligation. I authorize you not to transfer shares/securities purchased by me to my demat beneficiary account and keep them with yourselves. I also authorize you to pledge these shares/securities with the Clearing Member/ Exchange/Custodian, at your discretion. In case any of the shares/securities are sold in subsequent settlements, you may take this as a standing instruction to adjust against my previous purchase positions in any of Exchanges.
- b) Whenever I require delivery of any shares/securities, I shall send you a written request. I understand that such payout of securities will be made subject to your risk management policies.
- c) In case there is a debit balance in my account, you are authorized to sell at any point of time the shares/ securities held by me/ held on my behalf, at your sole discretion. Any profit or loss made on such transactions will be to my account as it would have occurred on normal purchase/sale made by me. I also agree to pay the balance amount, if any after deducting credit of sale of shares.
- d) I also request you not to issue cheques /make payments settlement wise unless specifically demanded by me, as paying and payout are very close and therefore exchange of cheque becomes unnecessary paper work.
- e) I/We understand and agree that no interest will be payable to me/us on the amounts or securities so retained with you.
- f) I further authorize you to set off/ adjust any of my debits/dues in any segment of any Exchange with credits of any other segment(s) of any Exchange(s) in my account within the period of settlement of my account (Securities and Funds) adopted by me.
- g) The Securities lying in client beneficiary account on my/our behalf and/or credit balances lying in my/our ledger account of any segments of any Exchanges can be considered as margin for the any segments of any Exchanges.
- h) I/We understand that this authorization shall remain valid until revoked by me/us. I/we undertake to clear all my dues arising during the validity of this authorization else the member shall recover all my/our dues in my/our ledger till date. I also undertake to clear all my dues to you before serving revocation of this authorisation else the member will recover all my dues in my ledger till date and then effect the revocation.
- i) I/We further wish to have settlement of my account (funds & securities):- (Tick anyone option):- **Once in Quarter** **Once in Month** I/We understand that settlement amount shall be subject to retention of requisite securities/ funds towards outstanding obligations and margins in my account calculated in the manner specified by SEBI/ Exchange and details mentioned in the "Statement of Account" at the time of settlement. I/We authorize you to send the statement of account on funds and securities as on the date of settlement to my internet trading account or e-mail id registered with you. I/We understand that I/We can obtain a copy of the same from any of your Branch offices. I/We understand and authorize that you will not release the payout in case the balance after necessary retention is up to Rs. 10000/- in any of the quarters, till my revocation in writing as I/We will be doing regularly trading with you. I also undertake to bring any dispute arising from Statement of accounts or settlement so made to your notice within seven working days from the date of receipt of funds/securities or Statement as the case may be. So kindly maintain my account as a running account basis for funds and securities.

B. AUTHORISATION FOR RECEIPT OF CONTRACT NOTES AND OTHER DOCUMENTS IN DIGITAL MODE

 Yes No

I/We hereby consent to receive from 360 ONE Distribution Services Ltd. the digital contract notes, bills, ledgers, transaction statements, demat statement of accounts/ holding statement(s)/bills/other statements, notices, circulars, amendments and such other correspondence documents, records by whatever name called in electronic form duly authenticated by means of a digital signature as specified in the Information Technology Act 2000 and the rules made thereunder to my below mentioned email id (said email id).

E-mail Id : _____ I/We further hereby agree that the 360 ONE Distribution Services Ltd. shall fulfill the legal obligation, if the above documents are sent electronically to the said e-mail id and I/We am/are aware that I/we will not receive the statements / documents in paper form. In this regard, I/we further confirm and agree that:

- (i) The above is my/ our personal E-mail id. I/ We shall take all necessary steps to ensure confidentiality and the secrecy of the login and password of the abovementioned e-mail account. 360 ONE DSL shall not be liable to or responsible for any breach of secrecy. I/We am/are aware that the statements/ documents may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised. I/We will be solely responsible for the security of the information provided on my/ our aforesaid e-mail id.
- (ii) E-mails sent to any of the above mentioned e-mail account shall be deemed to be duly delivered to the me/us. However, emails bounced back on account of errors/systems issue attributable to any act of 360 ONE DSL will not be considered as duly delivered.
- (iii) 360 ONE DSL shall not take cognizance of out-of-office/ out of station auto replies and I/We shall be deemed to have received such electronic mails.
- (iv) Such Statements shall be deemed to have been delivered on the day when the e-mail is sent by 360 ONE DSL.
- (v) I/We further agree that 360 ONE DSL may at its sole discretion also provide / discontinue such statements in physical form.
- (vi) 360 ONE DSL shall not be liable or responsible for any Statement received from frauds or impostors or any consequences thereof.
- (vii) 360 ONE DSL shall not be liable for any problem, which arises at my/our computer network because of my/our receiving any Statement from 360 ONE DSL.
- (viii) I/We shall inform 360 ONE DSL by way of a letter in writing duly signed by me or inform through internet platform if there is any change in the Email given above.
- (ix) I/We undertake to check the Statement and bring the discrepancies to your notice within the time period as specified under the relevant agreements entered into with 360 ONE DSL. My/Our non-verification or not accessing the Statement on regular basis shall not be a reason for disputing the same at any time.
- (x) It/We reinstall inform in writing in prescribed format to 360 ONE DSL to discontinue the said facilities. I/We and 360 ONE DSL shall have the right to terminate such service provided a written notice is given at least 10 days in advance to the other party.

I/We shall immediately inform 360 ONE DSL about change in email address, if any. I/We further agree that 360 ONE DSL will not be responsible for non receipt of documents sent via electronic delivery due to change in/incorrect email address/correspondence address as mentioned or any other reason which inter alia include technical reasons or malfunction of my/our computer system/server/internet connection etc. Further I/We agree and undertake to indemnify 360 ONE DSL and its group companies, that they will not be held liable/ responsible for any losses, claims damages, cost and liabilities etc. caused to me/us due to misuse of this information or pursuant to any litigation cases, complaints, actions, etc that may arise in future on account of my/ our above authorization request.

C. AUTHORISATION FOR ONLINE TRADING SOFTWARE PASSWORD

Yes

No

I wish to use online Trading Software in this regards Following:-

- i. Please send my system-generated, internet account first time as well as subsequent, password on the registered mobile numbers. Also, if I ever need to reset the Password later, the same should also be sent by SMS on the above given mobile number. As soon as I receive the system generated Password, I shall login and change it for security reasons. I am aware that system-generated Password will automatically expire in 72 hours from the time of sending.
- ii. In the event that I do not receive the Password on SMS within 24 hours of opening of account or request for reset of Password, I shall contact the Customer Service Department of the 360 ONE DSL immediately and request for re-setting of my Password
- iii. I have been explained that I shall set my new Password as may be easy to remember for me, but should not be easy to decipher for anybody else and should be preferably alpha-numeric and not my or any family member's name/ surname.
- iv. I am fully aware of the risk of electronic loss/ theft and agree to be solely liable and responsible for the same as well as for any unauthorized use/ misuse of the Password. I understand 360 ONE DSL will not be responsible or liable in any manner whatsoever for the same.

DECLARATION

- i. I am aware that the mobile number shall be updated in all your records and databases including trading and demat account.
- ii. I declare that the registered number is my personal mobile number and is always in my safe custody. I shall keep 360 ONE DSL informed of any change in my mobile number immediately for updating the same in databases. I am aware that without intimation for change in mobile number, 360 ONE DSL would not be able to send my Password over new mobile number and also would not be able to update in records and databases.
- iii. I am aware that in case of change in my mobile number anytime after opening of this account, it is mandatory for me to submit the photocopy of a latest/last two months Mobile bill for postpaid connection. If I have prepaid connection then I have to visit personally to the Branch/FANs along with my ID proofs (E.g.: Pan Card or Driving License) and get the documents verified by the branch officials.
- iv. I will be solely responsible for the security of the information provided on my aforesaid mobile number. Further,
- v. I agree and undertake to indemnify 360 ONE DSL and its group companies, that they will not be held liable/responsible for any losses, claims, damages, cost and liabilities etc. caused to me due to misuse of this information or pursuant to any litigations, non- litigation cases, complaints, actions, etc. that may arise in future on account of my above authorization request.
- vi. SMS Alerts Facility by depository: I/We consent to CDSL/NSDL providing to the service provider such information pertaining to account/transactions in my/ our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number. I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ We further undertake to pay fee/charges as may be levied by the depository from time to time. I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We declare these details to be correct and provide authorisation for above points per my/our signature affixed on page 09 of this form.

DEPOSITORY CHARGES

Broking Services		
BROKERAGE (CASH)	STANDARD RATE	QUOTED RATE
Intra-day	0.05%	
Delivery: (Normal) settlement	0.50%	
Exchange Trade for Trade and Z group scrip	0.75%	
Brokerage (Futures)	0.05%	
Brokerage (Options) Minimum	1% of Premium or Rs. 100/- per lot whichever is higher	
Minimum Brokerage	0.05 per share or 0.05% whichever is higher	
Auction Charges	1.00%	
Currency Brokerage (Futures)	Rs. 25 per lot	
Currency Brokerage (Options)	Rs. 10 per lot	
BROKERAGE (COMMODITIES)	STANDARD RATE	QUOTED RATE
First Leg	0.05%	
Square Off	0.05%	
Minimum Per Unit	Rs. 0.05%	
Delivery Normal Settlement	0.25%	
Options	Rs. 100/- per lot	

Other Charges	
Particulars	Applicable Charges
Statutory charges at the time of account opening	NIL
Advance/Deposit	NIL
Early Pay In	Rs 30 per ISIN request
On Market	Rs 30 per ISIN request
Margin Pledge / Margin Unpledge	Rs 30 per ISIN request
Transaction OFF Market (Debit)	Per ISIN-0.04 %Txn Value (Min Charges Rs.30/- and Max Rs.25000)
Pledge Creation	Per ISIN -0.04% Txn Value (Min Charges Rs.100/- and Max Rs.25000)
Pledge Invocation	Per ISIN-0.04% Txn Value (Min Charges Rs.100/- and Max Rs.25000)
Demat	Rs. 100/- per certificate (Fixed Rs.100/- per DRF)
Remat	At Actuals
Account Maintenance	Rs.1000/- annually
NDU	At Actuals
Other NSDL / CDSL charges, if any	At Actuals

** GST as applicable.

*The charges will be pro-rated monthly from the month in which the account is opened till the end of the financial year. For subsequent financial years, the same is payable at the beginning of the financial year **AMC and other charges will be debited to your ledger on yearly and monthly basis respectively.

* In case the brokerage rate is not specified on the brokerage chart as above, the default brokerage as mentioned will be applicable.

NOTES

Delayed Payment Charges (For Broking) - Any amount which are overdue from the client towards trading either in cash or derivative segments or on account of any other reason, the Client will be charged delayed payment charges at the rate of 18% per annum or such other rate as may be determined by the Stock Broker.

- The above charges are exclusive of Applicable Taxes, Securities Transaction Tax, other charges and stamp duty. The same shall be charged to the Client's account on actual basis.
- Charges/service standards are subject to revision at sole discretion of 360 ONE Distribution Services Ltd. and as informed by communication available on website or sent by ordinary post/ E-mail.
- Statutory and other levies being charges related to the execution and/ or settlement of transactions shall be separately chargeable as in force from time to time.
- Charges quoted above are for the services listed. Any service not quoted above will be charged separately.
- Management reserves the right to freeze, discontinue or suspend any account if required.
- In case of expiry of future contracts and exercise and assignment of option, Derivatives Settlement Charges may be levied.
- In case of buy / sell of same security during the day, the brokerage will be levied on higher side of the transaction amount/ price for both intra- day and delivery transactions.
- Brokerage is also applicable on Expiry / Exercise / Assignment of Options Contract
- Demat charges shall be applicable for mutual fund transactions.

I/we have read & understood all documents & I/we hereby sign all of them as mentioned below:

1.KYC Information, Additional Details, Mandatory Details, Mobile / Email Declaration & FATCA / CRS

2.Investment Risk Profile

3. Terms and Conditions

4. Applicant Declaration / Consent (FEMA)

5. Authorisation

Signature of the 1 st holder	Signature of the 2 nd holder	Signature of the 3 rd holder

APPLICANT DECLARATION / CONSENT

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/ our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be liable for it I/We hereby authorize 360 ONE Distribution Services Limited to disclose, share, remit in any form, mode or manner, all/ any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/ us to associates of 360 ONE Group, issuers, brokers, banks and other relevant parties ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities/agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/ us of the same, Further, I/We authorize to share the given information to other Authorised Parties to facilitate single submission / updation & for other relevant purposes, I/We also undertake to keep you informed in writing about any changes / modification to the above information in future promptly, i.e., within 30 days and also undertake to provide any other additional information as may be required at your end, As may be required by domestic or overseas regulators / tax authorities, I/We authorize the Authorised Parties to withhold and pay out any sums from your account or close or suspend your account(s) without any obligation of advising me of the same. I/We hereby authorise you to kindly update/modify KRA/CKYC basis the documents submitted and also to update the account details basis any changes done on KRA/CKYC. The purpose of collection/usage of Aadhaar number including demographic information is to comply with applicable laws/rules/regulations and provision of the said data is mandatory as per applicable laws/rules/regulations.

I/We hereby provide my/our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/ authenticating and (iii) updating my/our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I/We hereby provide my/our consent for sharing/disclosed of the Aadhaar number(s) including demographic information with SEBI registered intermediaries for the purpose of updating all my/our accounts with my/our PAN.

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes there in, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby declare that I am not making this application for the purpose of contravention of any Act. Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time.

I/We hereby declare that I/we are Fit & Proper person as per regulation 20 of SECC regulation 2012 for executing transactions in the securities of the listed exchanges.

I hereby consent to receiving information from Central KYC/ KRA Registry through SMS/Email on the above registered number /email address.

I/We confirm having read/been explained and understood the contents of the document on policy and procedures and the tariff sheet. I/We confirm having received a copy of the following documents and further confirm having read and understood the contents of these documents:- Rights and Obligations of Stock Rights and Obligations of Stock Brokers/Commodity Brokers, Sub-Brokers and Clients as prescribed by SEBI and Stock Exchanges

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories Risk disclosure Document for Capital and Derivatives Segment Guidance Note- Do's and Do n'ts for Trading on the Exchange (s) for Investors Policies and Procedures applicable to clients.

I/We do hereby agree to be bound by such provisions as outlined in these documents.

I/We further understand that any change in the said documents including the "Policies and Procedures" shall be made available on the website of 360 ONE DSL and I/ We shall keep myself updated of the same.

In case non resident account, I/We also declare that I/We have complied and will continue to comply with FEMA regulation.

I/We hereby consent to receiving information from central KYC Registry through SMS/Email on the above registered number / email address.

I/We hereby agree that 360 ONE DSL may assign/transfer entire or part of its broking, depository participant and/or distribution services, to its associate or group companies, without prior consent of the client.

I/We agree that the Broking account will be opened in the name of the first applicant only.

The instruction/authorization received from the account holder(s)/authorized representative as per mode of holding stated in Schedule 1 to the Power of Attorney on shall be executed by the attorney.

I / We consent to opt in for the IWA- 360 ONE Wealth WhatsApp Channel covering services like viewing of portfolio statements, query management, updates on product offerings and such other services as may be offered from time to time. I/ We understand that WhatsApp is a communications service provided by a third party, and 360 ONE Wealth will not be responsible for confidentiality and storage of data on this application. I also understand that I can unsubscribe from this service at any time.

I/We hereby also give my/our consent to download my/our KYC Records from the Central KYC Registry (CKYCR) & KYC Registration agency (KRA), only for the purpose of verification of my/our identity and address from the database of CKYC & KRA Registry. I/We understand that my/our KYC record includes my/our KYC records /Personal information such as my/our name, address, date of birth, PAN number etc. Further kindly treat this as a one-time consent from me/us for downloading my/our KYC records from the Central KYC Registry (CKYCR)/KYC Registration agency (KRA) as & when the same would be required for any investment purposes or for any modifications to be done in my/our accounts.

I/We declare these details to be correct and provide declaration for above points per my/our signature affixed on page 09 of this form.

Date:

To

The Depository Department
360 ONE Distribution Services Ltd
Mumbai.

Dear Sir,

Subject: Registration of Power of Attorney on my /our Depository (DP) Account _____ maintained with
360 ONE Distribution Services Ltd (The "Company").

I, / We have granted a Power of Attorney to **360 ONE Distribution Services Ltd** to allow operations in my / our above-mentioned account with you. The attested copy of POA is enclosed. I / We will inform the Company immediately in writing, incase the POA is revoked by me / us. Till such time the Company can continue to act on the POA.

360 ONE Distribution Services Ltd shall forward a copy of this Power of Attorney to the Company, and I/We agree that any action taken by the Company shall be in good faith. I/We state that the Company shall not held liable for any direct or indirect loss, damage, costs, claims and expenses whatsoever resulting as consequence or caused or contributed by any event or relating to its action in relation to this Power of Attorney

Kindly arrange to register the subject POA in your records.

Thanking You,

First Holder _____ Signature _____

Second Holder _____ Signature _____

Third Holder _____ Signature _____

Voluntary and Limited purpose Power of Attorney (POA) in favour of 360 ONE DSL for operational requirements
as per client authorization/ instruction.

POWER OF ATTORNEY (POA) IN FAVOUR OF 360 ONE DISTRIBUTION SERVICES LTD. (360 ONE DSL)

This POA is made by the persons listed in Schedule 1 in favour of "360 ONE Distribution Services Ltd. (360 ONE DSL)", a company incorporated under the provisions of the Companies Act, 1956 in India and having its registered office at address 360 ONE Centre, Kamala City, Senapati Bapat Marg, Lower Parel Mumbai 400 013. (hereinafter referred to as '360 ONE DSL, an expression deemed to mean and include its successors and assigns) WHEREAS 360 ONE Distribution Services Ltd is a member of the National Stock Exchange of India Limited ("NSE"), Bombay Stock Exchange Limited ("BSE"), NCDEX and MCX bearing SEBI registration nos. INZ000296339.

AND WHEREAS 360 ONE Distribution Services Ltd. (360 ONE DSL) is Participant with the National Securities Depository Limited (hereinafter referred to as "NSDL") and with Central Depository Services (India) Limited (CDSL) having SEBI registration number – IN-DP-573-2021.

AND WHEREAS 360 ONE Distribution Services Ltd. (360 ONE DSL) is registered with Association of Mutual Funds in India (AMFI) as Mutual Fund Distributor having Registration no. ARN-181727. AND WHEREAS 360 ONE DSL offers distribution of Initial Public Offers/Follow on Public Offers, Offer for Sale, Mutual Funds, Alternate Investment Funds, Bonds/Debts, National Pension Scheme and other financial products;

AND WHEREAS I/We wish to avail / I/We am/ are a client availing of the services offered by 360 ONE DSL and has entered into necessary documents/ agreement(s) ("Client Agreements") with 360 ONE DSL for transacting in securities with/ without On-Line trading/ E-broking & web-based facilities and/ or services through its website www.360.one or any other website used for offering said Services.

AND WHEREAS for facilitating smooth operations of the said services being availed from 360 ONE DSL by me/ us, I/ We am/ are desirous of appointing an attorney and confer upon it powers hereinafter stated. NOW THESE PRESENTS WITNESS that I/ we, do hereby, jointly, and severally nominate, constitute and appoint "360 ONE Distribution Services Ltd. (360 ONE DSL)" being a Stock-Broker and Depository Participant registered with Securities and Exchange Board of India ("SEBI"). I/We, do hereby, jointly and severally nominate, constitute and appoint "360 ONE Distribution Services Ltd." as distributor of various financial products, acting through any of its directors, officers and/ or agents, as my/ our true and lawful Constituted Attorney (hereinafter referred to as the said Attorney)

for me/ us, in my/our name and on my/ our behalf and at my/ our risk and costs to do, exercise and perform all or any of the following acts, deeds and things:

1. To credit my/our client designated demat accounts with any securities that are to be delivered to me/us/borrowed by me/us.
2. Generally, to do all such acts, deeds, matters and things as may be necessary for or incidental to ensure that all payments and obligations for transactions undertaken by me/us under the agreements are duly and properly completed.
3. To operate the Bank Account on my/our behalf in connection with the Distribution Account and perform the following functions:
 - i. To withdraw or transfer any sums from the Bank Account towards any transactions/ obligations to the Stock Exchanges/ 360 ONE WAM Limited and its subsidiaries & associates acting in the capacity of the Stock Brokers / 360 ONE DSL, irrespective of debit or credit balance in my ledger statement of distribution / distribution account;
 - ii. To set-off any sum of monies from the Bank Account against any outstanding amount payable by me/us to 360 ONE DSL;
 - iii. To prepare sign and submit all the forms, statements and declarations as may be required in respect of the Bank Account and collect cheque books/leaves/bank statement from the bank in respect of the Bank Account opened in connection with the distribution services account opened withunder the power of attorney to 360 ONE DSL.
 - iv. to execute all instructions given by me / us in relation to the operation of the bank accounts.
4. Pursuant to my/ our instruction, to make necessary applications on my/ our behalf to any officials or authorities in India, including but not limited to, the Central Government, and/or the Reserve Bank Of India, Income Tax Authority, Stock Exchanges, SEBI in connection with my/ our purchase/ sale/ transfer holding and continuing to hold securities, and to represent me in all respects before such authority/ies and establish without encumbrance the ownership of securities in my name.

Pursuant to instructions received from me/us, to make investments in various securities and financial products i.e. Mutual Funds, Alternate Investment Funds, Bonds/Debts, National Pension Scheme, PMS and other financial

products from the credit balance available in ledger statement of distribution account and/or redeem the investments from the above towards meeting my obligations/ledger debits towards facilitating my investments/disinvestments and in this regard 360 ONE DSL is authorized:

- i. To enable/execute the transactions through my designated Broking account/Demat account/Bank account/360 ONE DSL ledger account,/ IPO, Bonds & Debts Account/Direct Investment account from time to time.
 - ii. To sign/execute/submit necessary application/ instructions/forms/intimation as may be required by the respective Fund Houses/Institutions/Banks/ Issuers/Companies or other entities for purchase, sell, redemption or transfer of Securities etc. through physical or electronic or internet platforms from time to time.
5. The term "Securities" shall include shares, scrips, stocks, bonds, warrants, options, futures, derivatives, convertible debentures, nonconvertible debentures, securitized debt instruments, fixed return investment, equity linked instruments or other marketable Securities of a like nature in or of any incorporated company or other body corporate, negotiable instruments including usance Bills of Exchange, deposits or other money market instruments, commercial paper, certificate of deposit, units issued by Unit Trust of India and units issued by Mutual Funds Alternative Investment Funds (AIFs), commodity warehouse receipts, mortgage backed or other asset backed Securities issued by any institution or body corporate cumulative convertible preference shares issued by any incorporated company and- Securities issued by any incorporated company and Securities issued by the Central Government or a State Government for the purpose of raising public loan and having one of the forms specified in Clause (2) of Section 2 of the Public Debt Act, 1944, any other new form of capital or money market instruments that may be issued in the future by any incorporated company/firm/ institution or Government.
 6. Pursuant to my/ our instruction or instruction of my/our Authorised Representative, to apply for various products like Public issues of securities including debentures, rights, offer of shares, tendering shares in open offers etc.
 7. Pursuant to instructions received from me / us from the persons (of the POA (general or specific) to apply for various products and securities including Mutual Funds, Alternative Investment Funds, Structured Products, Public issues of securities including shares, debentures, rights, offer for sale, tendering shares in open offers etc.
Pursuant to instructions received from me / us the persons of the POA to acquire by subscribing to or by purchase of securities or any other investment products and to sell, transfer and endorse the same or redeem the same or otherwise deal in the securities and/or any other investment products including but not limited to Initial Public Offerings, Further Public Offerings, Buy Back Offers, Right Issues either through internet using identification number issued by any Authority from time to time or otherwise, and/or to sign and to execute all transfer deeds whether as transferor or transferee and such other instruments, applications and papers as may be necessary for acquiring or transferring/ redeeming the same, marking pledge/lien on such securities and/or for transferring the investments in the units of Mutual Funds from one scheme to another or between Mutual Funds and/or otherwise as may be required to deal in the securities or other investment products.
 8. Pursuant to my/our instruction or instruction of my/our Authorised Representative, to make applications for or to renounce and sign renunciation forms in respect of bonds/debentures, right shares and additional shares of any Company/ Body/ Authority and to receive and hold such rights or additional shares bonds or debentures.

9. Pursuant to our instructions, to issue orders and instructions for acquisitions and disposal of investments for and on behalf of myself/ourselves and to purchase or otherwise acquire, sell or otherwise dispose off and invest in securities.
10. To sign the necessary forms and other documents if any and to file the same with the company/ies, MF's, AIFs concerned for purchase, sell, redemption or otherwise transfer of such securities /AIFs and transferring it in my/ our name.
11. To forward all such applications placed through the website to the online-IPO module of the concerned Exchange or owner/ issuer of Investment Product.
12. To receive statements and other documents pertaining to the above units/ securities and to acknowledge receipt of the same.
13. To do all such acts and things as may be necessary to effect the subscription/ purchase/ redemption or any other transaction in any securities and/ or Investment Product for which services are availed from 360 ONE DSL.
14. To debit the purchase price of such securities or any other fees/charges etc. due to 360 ONE DSL and to discharge all obligation in this regard on my/ our behalf to 360 ONE DSL, in my account with the designated bank
15. To execute the share transfer requests given by me/us through the website or any other medium and process the documents authenticated by authorized signatories empowered to do so in this regard.
16. To operate and to give delivery/ receive instructions, borrowing and lending instructions, pledge instructions, pledge closure and to do all such other things that may be necessary in the course of business relating to operation of client designated demat account(s) or towards the obligation for transactions done telephone, through 360 ONE DSL on NSE, BSE and any other Exchange, under my/ our instructions.
17. To execute the share transfer requests given by me/us through the website or any other medium and process the documents authenticated by authorized signatories empowered to do so in this regard.
18. To square off at its discretion my/ our position of transactions which are inadequately funded in terms of margins or to bring them in line with exposure limits.
19. To demand, receive and give good and effectual receipt(s) and discharge(s) for all or any dividends, interest, bonuses or any other sum(s) and/ or income arising from the shares, debentures, units and other investments and to sign and endorse pay orders, dividend/ interest warrants or certificates, receive all debts, sums of money, principal, interest, dividend or other dues of whatever nature or account which are now or at any time due and payable and belong to me/us.
20. For the purpose of the aforesaid to sign contracts, agreements, transfers, acceptances, receipts, or other instruments, documents and forms, to accept and carry out correspondence with such person(s) or authority/ authorities or department(s) and to do all such lawful acts requisite for effecting the same.
21. To correspond with and give notice to the corresponding Asset Management Company/Body Corporate/Registrar & Transfer Agent of securities including but not limited to giving instructions with regard to nomination/change in investment plans/any other changes that maybe necessitated/instructed by me/us.
22. To comply and/ or cause to be complied with all statutory and other requirements attached to or arising out of these premises and for these purpose to take such steps and actions necessary or proper, including signing of affidavits, indemnity, declarations, legal documents, deeds and writings required.
23. To send consolidated summary of my/our scrip wise buy and sell positions taken with average rates by way of SMS/ e-mail at my/our registered mobile

- no./email id as provided by me/us through contract note or any other intimation, on a daily basis, notwithstanding any other document to be disseminated as specified by SEBI from time to time.
24. To arrange to return to me/ us the securities that may have been received by 360 ONE DSL erroneously or those securities that 360 ONE DSL is not entitled to receive from me/us
 25. For all or any of the purpose aforesaid to appoint from time to time a representative and to revoke such representation but so that the appointment of any such representative shall not affect or prejudice the rights or powers of the Attorney to act hereunder and the Attorney may continue to do so notwithstanding such appointment
 26. To temporarily invest, without my/our consent, the credit balance which is lying idle in my/our ledger and/or bank statement of distribution account, in liquid assets including units of liquid, money market and ultra-short-term schemes of mutual funds and also redeem/sell the said temporary investment(s);
 27. To redeem the units of any investment in liquid, money market and ultra-short-term schemes of mutual funds and also redeem/sell the said temporary investment(s) for purpose of payment of any fees, dues, obligations and charges due to 360 ONE DSL, its subsidiaries and associates.
 28. To instruct the bank to transfer the funds and debit my / our bank account(s) mentioned hereunder to meet with the following requirement
 - i. Towards meeting the settlement obligations / margin requirements in connection with the trades executed by me/us in connection with the trades executed by me/us through 360 ONE WAM Limited and its subsidiaries & associates acting in the capacity of the Stock Brokers,
 - ii. To recover any outstanding amount due/debit balance as reflecting in my ledger statement arising in connection with the trades executed by me/us through 360 ONE WAM Limited and its subsidiaries & associates acting in the capacity of the Stock Brokers.
 - iii. Towards the payment for subscription of financial products/facilities /services through 360 ONE DSL like Mutual Funds, Public Issues (shares as well as debentures), rights, offer of shares etc.
 - iv. To pay any other monies/fees/charges/cost expenses etc. due pay able to 360 ONE DSL for availing/subscribing to facilities/services availed by me/us.
 - v. To invest available credit balance in any of financial products as per my/our instruction to 360 ONE DSL.
 - vi. To transfer funds by debiting my / our bank account as per my/our instructions.
 29. To prepare, complete, sign and submit all forms, statements and declarations as may be required in respect of operating of the bank account(s).
 30. To make, draw, sign, endorse, negotiate, accept and release as the case may be cheques, drafts, pay orders, telegraphic transfers/direct transfers on the internet or other securities for payment of money whether debit or credit in my/our account(s) with the 360 ONE DSL.
 31. To make, sign and execute all forms and documents as required to operate depository account with depository participant and to issue instructions relating to dematerialization or rematerialising of securities, freezing of accounts, to block and/or debit the account, to give delivery/receipt instructions, pledge instruction, pledge closure instructions, lending and borrowing instructions, and to do all such other things that may be required in the course of business relating to the depository account opened on my/our behalf including closure of the account if deemed necessary or expedient. Subject to Exchange Control Regulations in India to demand, receive and give good and effectual receipt(s) and discharge(s) for all or any dividends, interest, bonuses or any other sum(s) and/or income from the shares, debentures, units and other investments and to sign and endorse pay orders, dividend or other dues of whatever nature or account which are now or at any time may be due and payable and belong to me/or us on any account(s).
 32. To enter into and execute such deeds, documents and writings as may be required for the purposes of operating the bank account.
 33. To prepare, complete, sign and submit all forms, documents, statements and declarations as may be required in respect of the operating the bank account(s).
 34. To receive and deposit the monies realized from sale of the securities or any other financial products and all interest/ dividends in my/our behalf in my/our bank account;
 35. 360 ONE DSL may invest/redeem the available credit balance in my/our ledger account/demat account/bank account in such other securities and financial products, as instructed by me/us;
 36. To do or omit to do all such acts and things as 360 ONE DSL may in its discretion consider to be necessary or desirable in order to exercise its powers hereunder or to comply with any laws, orders, rules, regulations or directions of any government or regulatory or other authorities.
 37. My/ Our Attorney 360 ONE DSL shall not be liable for any loss that may result from failure/ inability of electronic connectivity or rejection of my/ our application for any reason whatsoever. My/ our Attorney 360 ONE DSL shall not be liable for any transaction processed on the basis of information provided by 360 ONE DSL to the issuers / owners of securities or investment products in the applications or otherwise based on the information provided by me/ us.
 38. To attend, vote and otherwise act as attorney or proxy at meetings of the members, creditors, debentures holders of any company in which Securities are acquired or held pursuant to this authority for and on my/our behalf and/or per my/our instructions.
 39. To buy/sell foreign exchange on my/our behalf and to sign all documents related to repatriating the fund from my/our account.
 40. It is hereby clarified and declared that the Attorney being a body. corporate, the power herein above granted may be exercised by any of its agents or employees to whom the Attorney may delegate any of the powers aforesaid and accordingly the Attorney may appoint and remove any sub-agent or Attorney from time to time as it may consider appropriate.
 41. The Attorney shall have the sole authority and power to operate the said Account with the Bank and I/we hereby declare and undertake not to operate such Account during the currency of this Power of Attorney in any manner whatsoever My/ Our Attorney 360 ONE DSL shall not be liable for any loss that may result from failure/ inability of electronic connectivity or rejection of my/ our application for any reason whatsoever.
 42. I/We hereby agree that all such acts done by my/ our above- mentioned attorney shall be deemed to be acts done by me/ us and if necessary, shall be ratified by me/ us on the instructions of the said attorney.
- AND I/ We hereby agree that 360 ONE DSL shall exercise such powers /authorities conferred under the above Power of Attorney only pursuant to the instructions in that behalf given by me/ us or my/ our Authorised Representative, which may be given orally, over telephone, through the internet, electronically or in any other manner acceptable to 360 ONE DSL and such instructions shall be conclusive and binding on me / us.
- 360 ONE DSL shall be entitled to assign this power of attorney to any of its affiliated entities, associates, group companies, as the case may be, in the event of transfer of business to any of such entities, and Client confirms that it agrees to such assignment.

My/ Our "Authorised Representative" for the purpose this Power of Attorney shall mean Mr./Ms. _____
_____ or such other person appointed by me/ us and intimated by me/ us in writing to the Attorney from time to time.

AND I / We hereby agree that all such acts done by my / our abovementioned attorney shall be deemed to be acts done by me / us and if necessary, shall be ratified by me/ us on the instructions of the said attorney.

AND I / WE DO HEREBY CONFIRM AND DECLARE THAT this Power of Attorney shall be valid, effective and operative and shall remain in force till the termination of the Member -Client Agreement and/ or any other agreement(s) that I / We have entered into with 360 ONE DSL I/We may revoke this Power of Attorney at any time by giving a notice to 360 ONE DSL in writing and the cessation of this authority shall not affect or impair any act thereto done in exercise of these presents.

Such notice shall be duly signed by me/ us and should be received at : 360 ONE Centre, Kamala City, Senapati Bapat Marg, Lower Parel Mumbai 400 013. I/ We hereby undertake to ensure that prior to the revocation of this power of attorney all amounts of debit balances including penalties and other charges & expenses till the date of revocation due to the Attorney as per its records are paid/cleared in full.

This document shall be subject to the jurisdiction of the Courts in Mumbai. MADE and executed at _____ on this _____ day of _____ 20 ____.

Schedule 1

	Name	Age	Address
Sole/First Holder			
Second Holder			
Third Holder			

Annexure Enclosed - Schedule 2

IN WITNESS WHEREOF I/We have hereunto set and subscribed my/our respective hands to these presents the day and the year herein above written Signed and Delivered by

Signature of the 1 st holder	Signature of the 2 nd holder	Signature of the 3 rd holder

CLIENT'S WITNESS FOR POWER OF ATTORNEY (for and on behalf of the Client)

Witness 1 - Name		Witness 2 - Name	
Address		Address	
Signature		Signature	

We hereby agree to exercise the powers conferred upon us in terms of the clauses mentioned hereinabove.

For **360 ONE Distribution SERVICES LTD.**

Date : _____

Schedule - 2 360 ONE DSL Designated Demat A/c with 360 ONE Distribution Services Ltd.

Sr No	Depository	Category	DP Name	DP ID	Client/BO Id
1	CDSL	CM POOL	360 ONE DISTRIBUTION SERVICES LTD	12083300	00000042
2	CDSL	CM POOL	360 ONE DISTRIBUTION SERVICES LTD	12083300	00000038
3	CDSL	CM PRINCIPAL	360 ONE DISTRIBUTION SERVICES LTD	12083300	00000023
4	CDSL	CM POOL	360 ONE DISTRIBUTION SERVICES LTD	12083300	00000116
5	NSDL	CM POOL	360 ONE DISTRIBUTION SERVICES LTD	IN304158	10000012
6	NSDL	CM POOL	360 ONE DISTRIBUTION SERVICES LTD	IN304158	10000004
7	NSDL	CLIENT UNPAID SECURITIES PLEDGE ACCOUNT TM/CM	360 ONE DISTRIBUTION SERVICES LTD	IN304158	10244639
8	CDSL	CLIENT UNPAID SECURITIES PLEDGE ACCOUNT TM/CM	3360 ONE DISTRIBUTION SERVICES LTD	12083300	00004412
9	NSDL	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT	360 ONE DISTRIBUTION SERVICES LTD	IN304158	10156592
10	NSDL	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT	360 ONE DISTRIBUTION SERVICES LTD	IN304158	10159101
11	NSDL	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT	360 ONE DISTRIBUTION SERVICES LTD	IN304158	10156584
12	NSDL	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT	360 ONE DISTRIBUTION SERVICES LTD	IN304158	10164650
13	NSDL	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT	360 ONE DISTRIBUTION SERVICES LTD	IN304158	10156605
14	NSDL	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT	360 ONE DISTRIBUTION SERVICES LTD	IN304158	10164668
15	CDSL	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT	360 ONE DISTRIBUTION SERVICES LTD	12083300	00003229
16	CDSL	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT	360 ONE DISTRIBUTION SERVICES LTD	12083300	00003096
17	CDSL	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT	360 ONE DISTRIBUTION SERVICES LTD	12083300	00003136
18	CDSL	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT	360 ONE DISTRIBUTION SERVICES LTD	12083300	00003214
19	CDSL	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT	360 ONE DISTRIBUTION SERVICES LTD	12083300	00003140
20	CDSL	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT	360 ONE DISTRIBUTION SERVICES LTD	12083300	00003081

360 ONE DISTRIBUTION SERVICES LIMITED

Demat Debit and Pledge Instruction (DDPI) is required for the purpose of enabling stock exchange settlement and margin pledges. DDPI enables you not to give DIS / pledge slips for stock exchange settlement / margin pledge transactions.

DEMAT DEBIT AND PLEDGE INSTRUCTION (DDPI)

Issued to 360 ONE Distribution Services Ltd:

S. No.	Purpose
1.	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through 360 ONE Distribution Services Ltd (Stock Broker)
2.	Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.
3.	Mutual Fund transactions being executed on Stock Exchange order entry platforms
4.	Tendering shares in open offers through Stock Exchange platforms

* the same may be eSigned or signed physically

Signature of the 1st holder	Signature of the 2nd holder	Signature of the 3rd holder

FORM FOR NOMINATION - DEMAT & BROKING

(To be filled in by individual applying singly or jointly)

Date : _____	Broking Code : _____	DP ID : _____	Client ID : _____
<input type="checkbox"/> I/We wish to make a nomination. [As per details given below]			NRN No. : _____
<input type="checkbox"/> Single Nominee		<input type="checkbox"/> Multiple Nominee	
Nomination Details I/We wish to make a nomination and do hereby nominate the following person in whom all rights and/or amount payable in respect of all my/our investments made in various asset classes including Mutual Funds routed through / opened and operated under POA by 360 ONE Distribution Services Limited and securities held in the Depository by me/us in the said beneficiary owner account shall vest in the event of my/our death. I/We authorise the POA holder to sign the relevant nomination form on my/our behalf for all investment made through our POA accounts held under CRN			
Nomination can be made up to three nominees in the account.		Details of 1st Nominee	Details of 2nd Nominee
1. Name of the nominee(s) (Mr./Ms.)			
2. Date of Birth of nominee(s)			
3. Share of each Nominee Equally <input type="checkbox"/>		%	%
[If not equally please specify percentage]		Any odd lot after division shall be transferred to the first nominee mentioned in the form.	
4. Relationship with Applicant (If Any)			
5. Address of Nominee(s)			
Pin Code			
6. Mobile/Telephone No. of nominee(s)			
7. Email ID of nominee(s)			
8. Nominee Identification details- [Please tick any one of the following and provide details of same]			
<input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN			
<input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank account no.			
<input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat A/C ID			
Sr. No.9-15 should be filled by only if nominee(s) is a minor			
9. Date of Birth {in case of minor nominee(s)}			
10. Name of Guardian (Mr./Ms.) {in case of minor nominee(s) }			
11. Address of Guardian(s)			
Pin Code			
12. Mobile/Telephone No. of Guardian			
13. Email ID of Guardian			
14. Relationship of Guardian with Nominee			
15. Guardian Identification details- [Please tick any one of the following and provide details of same]			
<input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN			
<input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank account no.			
<input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat A/C ID			

Refer to page no. 25 for notes pertaining to nomination

Signature of the 1st holder	Signature of the 2nd holder	Signature of the 3rd holder

Signature of Witness for Nomination		
• Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature		
Name of the Witness	Address	Signature of Witness

DECLARATION FORM FOR OPTING OUT OF NOMINATION - DEMAT & BROKING

Date : / / 20

To,
360 ONE Distribution Services Limited, 360 ONE Centre, Kamala City, Senapati Bapat Marg, Lower Parel Mumbai – 400013

UCC/DP ID		<input type="checkbox"/> IN304158	<input type="checkbox"/> 12083300
Client ID (only for Demat account)			
Sole/First Holder Name			
Second Holder Name			
Third Holder Name			

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.

Signature of the 1 st holder	Signature of the 2 nd holder	Signature of the 3 rd holder

FORM FOR NOMINATION - MUTUAL FUNDS

(To be filled in by individual applying singly or jointly)

FORM FOR FRESH NOMINATION / CHANGE OF EXISTING NOMINATION/ CANCELLATION OF NOMINATION

Applicable for Individual Unitholders only - whether holding Units Singly or Jointly with other holders

Please read the instructions carefully before filling up this form

Sole/ First Holder Name	
Second Holder Name	
Third Holder Name	

I/We, the above-named Unitholders of _____ Mutual Fund, do hereby Nominate the person(s) more particularly described hereunder to receive the Units held my/our Folio/s listed below in the event of my / our death and/or

Cancel the nomination(s) made by me / us previously in respect of the units held by me/ us in the Folio/s listed below (Tick whichever is applicable).

Folio No. / Application No.

1.	
2.	
3.	

I/We confirm that this nomination instruction would stand valid for all other new folios that are opened in my / our name henceforth from this date, unless any change is provided by a different instruction signed by me / us

Name of the 1st Nominee		% of Allocation	
PAN of the Nominee**		Date of Birth of Nominee*	
Nominee Relationship			
Name of the Guardian*		PAN of Nominee Guardian*	
Guardian's Relationship with Nominee*:	<input type="checkbox"/> Mother	<input type="checkbox"/> Father	<input type="checkbox"/> Legal Guardian
Proof of Relationship:	<input type="checkbox"/> Birth Certificate	<input type="checkbox"/> School Leaving Certificate	<input type="checkbox"/> Passport <input type="checkbox"/> Others _____
Address			
City		State	PIN

Name of the 2nd Nominee		% of Allocation	
PAN of the Nominee**		Date of Birth of Nominee*	
Nominee Relationship			
Name of the Guardian*		PAN of Nominee Guardian*	
Guardian's Relationship with Nominee*:	<input type="checkbox"/> Mother	<input type="checkbox"/> Father	<input type="checkbox"/> Legal Guardian
Proof of Relationship:	<input type="checkbox"/> Birth Certificate	<input type="checkbox"/> School Leaving Certificate	<input type="checkbox"/> Passport <input type="checkbox"/> Others _____
Address			
City		State	PIN

Name of the 3rd Nominee		% of Allocation	
PAN of the Nominee**		Date of Birth of Nominee*	
Nominee Relationship			
Name of the Guardian*		PAN of Nominee Guardian*	
Guardian's Relationship with Nominee*:	<input type="checkbox"/> Mother	<input type="checkbox"/> Father	<input type="checkbox"/> Legal Guardian
Proof of Relationship:	<input type="checkbox"/> Birth Certificate	<input type="checkbox"/> School Leaving Certificate	<input type="checkbox"/> Passport <input type="checkbox"/> Others _____
Address			
City		State	PIN

*Applicable in case the Nominee is a Minor. (Also, please attach a copy of the minor's birth certificate)

** **Applicable in case the Nominee is a Major

Refer to page no. 26 for instructions pertaining to nomination.

Signature of the 1st holder	Signature of the 2nd holder	Signature of the 3rd holder

DECLARATION FORM FOR OPTING OUT OF NOMINATION

Date : / / 20

To 360 ONE Distribution Services Ltd.

Name of the Mutual Fund / AMC	
Mutual Fund / AMC / Address.	
Mutual Fund Folio Number/ Application Number	
Sole/ First Holder Name	
Second Holder Name	
Third Holder Name	

Declaration & Signature

I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

I/We confirm that this nomination instruction would stand valid for all other new folios that are opened in my / our name henceforth from this date, unless any change is provided by a different instruction signed by me / us.

Name and Signature of Unitholder(s)

Signature of the 1st holder	Signature of the 2nd holder	Signature of the 3rd holder

TERMS AND CONDITIONS FOR AVAILING THE SERVICES OF DISTRIBUTION OF SECURITIES/ FINANCIAL PRODUCTS AND DIRECT INVESTMENT PRODUCTS OFFERED BY 360 ONE DSL

360 ONE Distribution Services Ltd. being the stock broker is in the business of providing Trading cum Demat Services to its clients. In additions, 360 ONE DSL is also engaged in marketing and distribution of mutual funds, registered with the Association of Mutual Funds in India (AMFI) as a Mutual Fund Distributor and involved in distribution of various other securities and financial products including products offered by its affiliates or group companies. 360 ONE DSL provides online/offline facility to apply/purchase/ redeem/sale/buyback or otherwise deal in the units of Mutual Funds and other securities including transaction services opted in Account Opening Form (hereinafter referred to as 'transactions').

The Client wishes to avail of the facilities/services through 360 ONE DSL on the following terms and conditions set forth herein below. The terms and conditions contained herein are binding on the Client. The Client has agreed to obtain facilities/services from the 360 ONE DSL after fully understanding the entire terms and conditions. The terms and conditions shall be subject to amendment, if any from time to time at the sole discretion of 360 ONE DSL and such amendments shall bind on the client. For the purpose of the present Terms and Conditions, National Stock Exchange of India Limited and the Bombay Stock Exchange Limited shall be known as the Stock Exchanges.

- 1) The Client has option to authorize 360 ONE DSL by executants, application and papers as may be necessary for the purpose of acquiring, transferring/ redeeming the same, marking pledge/lien on such securities and/or for transferring the investments in the units of Mutual Fund from one scheme to another or between mutual Funds, to make application for, or to renounce and sign renunciation forms in respect of bonds/debentures, right shares and additional shares of any company/Body/Authority and to receive and hold such rights or additional shares, bonds or debentures.
- 2) All instructions given by the Client/its authorized representative shall be binding on the Client and 360 ONE DSL may furnish a certified copy of the Power of Attorney and other documents on behalf of the Client to the Company/ Registrar/Mutual Fund or any third party.
- 3) The Client agrees that the instructions with regard to the transactions shall be in the Client's sole name or in the name of the Client jointly with other persons as intimated by the Client.
- 4) The instructions with regard to the transactions may be given through by sending email from registered email ID or by making the call from registered telephone number/mobile number or any other reasonable mode as permitted by 360 ONE DSL.
- 5) 360 ONE DSL may refuse to act on any instructions unless they are given in the manner and form acceptable to 360 ONE DSL. However, 360 ONE DSL shall have no responsibility to determine the authenticity of any instructions given or purported to be given by the Client. The Client shall not hold 360 ONE DSL liable on account of 360 ONE DSL acting in good faith on instructions given by the Client or its authorized representative.
- 6) With respect to Referral Products, 360 ONE DSL shall not be responsible for any manner whatsoever and the client shall directly engage with the third party issuer(s) in this regard.
- 7) It is the duty of the client to acknowledge all relevant disclosures and ensure to have fully read and understood the detailed terms and conditions of relevant offer documents prior to investing.
- 8) 360 ONE DSL may also earn fees/commissions from the product provider(s) and issuer(s) as a distributor or arranger.
- 9) 360 ONE DSL may earn remunerations or commissions (in any form whatsoever or from any of its associates or any intermediary) for any distribution or execution services in respect of the products or securities for which the distribution service is provided to the client.
- 10) As per AMFI guidelines, disclosures relating to commissions on mutual fund distribution activities are available on our website www.360.one. Associates of 360 ONE DSL act as Investment Manager and Trustee to Mutual Fund and Alternative Investment Funds.
- 11) 360 ONE DSL at its own discretion may not carry out the Client's instruction, where the 360 ONE DSL has reasons to believe (which discretion of 360 ONE DSL the Client shall not question or dispute) that the instructions are not genuine or are otherwise improper/ unclear/raise a doubt. 360 ONE DSL shall not be liable if any instructions are not carried/ partly carried out for any reason, whatsoever. All transactions are subject to the applicable regulatory norms and/or 360 ONE DSL's internal policy requirements.
- 12) The Client understands and agrees that the mutual fund/RTA/Issuer Company/stock exchanges/may cancel, close or reject any contract suo-moto without giving any reason thereof. In the event of such cancellation, closure or rejection, 360 ONE DSL shall be entitled to cancel relative contract(s) with the Client and the Client shall not raise any objection and for it and hold 360 ONE DSL liable/responsible for it.
- 13) In case of Mutual Funds, the Client agrees and acknowledges that 360 ONE DSL shall provide the facilities as agreed between the parties only in respect of the selected Mutual Funds, with whom 360 ONE DSL has entered into a separate arrangement/agreement.
- 14) The Client undertakes to read all the relevant Offer Documents and addendums thereto and terms and conditions of all schemes of all mutual funds and other issues of securities including but not limited to Initial Public Offerings/Public Offers, Rights issue and Buy Back offers; before entering into any transactions the Client agrees to abide by the terms, conditions, rules and regulations as applicable from time to time. All relevant documents in this regard shall be made available by 360 ONE DSL to the Client.
- 15) The Parties shall ensure that the transactions through 360 ONE DSL are executed in accordance with the applicable laws, byelaws, rules and regulations governing the specific investment product. 360 ONE DSL may, from time to time, impose and vary limits on the orders which the Client may place, including but not limited to exposure limits, turnover limits and limits as to numbers. The Client agrees that 360 ONE DSL shall not be responsible for any variation or reduction that may be deemed necessary by 360 ONE DSL based on its risk perception and other relevant factors.
The Client understands that direct investments in bonds /debentures/equity/ equity related instruments/mutual fund/ venture capital fund may carry significant liquidity, credit and default risk, pricing risk. including the possible loss of principal amount invested. Past results are not a guarantee of future performance; yield or performance fluctuates and may not be a reflection of past results. The Client shall make investment after carefully understanding the Investment products. 360 ONE DSL shall not be liable or held liable for any consequences thereof.
- 16) The Client expressly agree and acknowledge that any information contained in the 360 ONE DSL's Brochures or other materials or otherwise communicated by 360 ONE DSL shall not be constructed as investment advice and that all decisions to purchase or sell units/securities made by the Client and shall be on the basis of own personal judgment arrived at after due consideration. 360 ONE DSL not assure or promise any bonus, interest, dividend, guaranteed returns and profit.
- 17) 360 ONE DSL shall not be under any duty to verify compliance with any restriction on the Client's investment powers.
- 18) The Client is responsible for the personal and bank related details provided by the Client. Neither 360 ONE DSL nor any of the Mutual Funds/Issuers or their respective Registrars shall accept any liability which may arise as a consequence of the erroneous information provided by the Client.
- 19) The Client authorizes the 360 ONE DSL to disclose/share, all such information pertaining to the Client with the companies/entities/

- subsidiaries/affiliates of 360 ONE DSL or their agents' Banks/Financial Institutions/Statutory Bodies as may be required from time to time, for the Client to be able to avail of any or all of the services provided by 360 ONE DSL under this arrangement or any other services. The Client shall undertake not to hold 360 ONE DSL and/or companies/entities/ subsidiaries /affiliates of 360 ONE DSL and/or their agents liable or responsible for use of the aforesaid information.
- 20) In case of change of address and personal details of the Client, the Client shall intimate the same via written letter/email to 360 ONE DSL of such change.
 - 21) The Client agrees and understands that the folio number of the Client in respect of the Mutual Fund schemes shall be received by 360 ONE DSL from the Asset Management Company.
 - 22) Further, any change in the details of the Client including but not limited to the Demat account, Bank Account, Address, registered contact number, email ID shall be first intimated to the 360 ONE DSL who may in turn liaise with the Issuer Company/ Mutual Fund/Asset Management Company or its respective Registrars to update such changes. The Issuer Company/ Mutual Fund/Asset Management Company or its respective Registrars may reject such requests and in such an event 360 ONE DSL shall not be liable for any such rejection.
 - 23) The Client further agrees that the Client shall not close/change the details of the Bank account without prior notification to 360 ONE DSL and the Client agrees that 360 ONE DSL may instruct Bank of the Client to reject any such request received from the Client.
 - 24) The Client shall provide 360 ONE DSL with its Permanent Account Number (PAN). In the event the Client has mentioned "Not Applicable" against PAN in the Application Form, the Client confirms that the Client is exempted from obtaining a PAN under the provisions of the Income Tax Act, 1961. However, in the event the Client id/application is for Rs. 50,000 or more and PAN is not provided, the Client shall be required to submit Form 60 or Form 61 as the case may be together with permissible documents as proof of address.
 - 25) The Client acknowledges that the purchase/application instructions shall be processed by 360 ONE DSL only after sufficient funds to cover the purchase/application price and other costs and charges are received by 360 ONE DSL.
 - 26) If after execution of any transaction it is for any reason found that 360 ONE DSL has not been provided with sufficient funds by the Client, the Client shall pay the deficient amount to 360 ONE DSL forth with on demand, failing which 360 ONE DSL may (but shall not be bound to) square up the transaction at any time at the Client's sole risk and cost. Any loss arising on such squaring up will be borne solely by the Client and the Client shall pay to the 360 ONE DSL the additional amount that may be payable by the Client, the 360 ONE DSL's demand being conclusive.
 - 27) The Client declares and confirms that the amount being invested by the Client either directly or through its Power of Attorney holders, in any schemes of all mutual funds or other securities including but not limited to Initial Public Offerings/Public Offers, Rights issue and Buy Back offers is obtained through legitimate sources and is not held or designed for the purpose of contravention of the provisions of any Act, Rules and Regulations or any statute or legislation or any other applicable Laws or any Notifications, directions issued by any Governmental or Statutory Authority from time to time.
 - 28) In case the Client is a Non-resident Indian, the Client confirms that the funds remitted from abroad are through approved banking channels or from the NRE/NRO/FCNR account.
 - 29) If for any reasons, 360 ONE DSL is unable to carry out the transactions as instructed by the Client/Client's authorized representative to the extent of full quantity of units/securities, 360 ONE DSL shall be entitled at its discretion and the Client hereby irrevocably authorizes 360 ONE DSL to carry out a transaction of a lesser quantity of units/securities subject to the prior consent of the Client. 360 ONE DSL shall not be responsible for the non-execution of the Client's instructions for the entire quantity or the remaining quantity due to market conditions provided the prior consent of the client has been obtained.
 - 30) The Client agrees and acknowledges that any instruction given or purported to be given by the Client/its authorized representative before the cut off time as may be intimated by the 360 ONE DSL to the Client from time to time, will be processed on the same day. Any instruction received after the cut off time will be processed on the next working day, if applicable.
 - 31) In case of Mutual Fund, applicable Net Asset Value (NAV) shall be as per the Offer Document and SEBI Rules and Regulations. The units of scheme shall be allotted, redeemed or switched, as the case may be, at the NAV prevalent on the date of the application, if the application for purchase, redemption or switch is received by the Fund before the cut-off time as specified on the website and consistent with the terms of the scheme. Any request falling due on holiday would be processed on the next business day and respective NAV would be applicable as per Mutual Funds offer documents.
 - 32) In case of other securities, the order for purchase, sell, offer under Buy Back etc. shall be accepted by 360 ONE DSL only if the same is received by the cutoff date as indicated on the website and consistent with the terms of the offer.
 - 33) The Client agrees and acknowledges that after the first purchase transaction in any Mutual Fund, the Client may not be permitted to transact till the folio number is allotted. 360 ONE DSL does not accept any liability for delay in processing time at the Mutual Fund's or Registrar's end.
 - 34) 360 ONE DSL shall credit the proceeds of the sale/redemption etc., for any of the Investment Products only after 360 ONE DSL has received the same unless specifically agreed otherwise.
 - 35) The Client acknowledges that 360 ONE DSL or its representatives shall not be under any obligation to provide him with any tax, legal, accounting, investment advice or advice regarding the suitability or profitability of investment of any kind, nor does 360 ONE DSL or its representatives give any advice or offer any opinion with respect to the nature, potential value or suitability of any particular transaction or investment strategy.
 - 36) It is explicitly stated herein that the Mutual Fund Schemes/ Offer Documents/other schemes offered by 360 ONE DSL, have not been/ shall not be understood as recommended by the 360 ONE DSL.
 - 37) The Client can view his/her/its transactions on the website. A physical copy of the transactions statement or the account statement shall be sent by 360 ONE DSL only on a written request from the Client.
 - 38) In case an application is made for Initial Public Offer/Public Offer/ Units of Mutual Fund through 360 ONE DSL, the Client authorizes 360 ONE DSL to collect on Client's behalf, the refund amount, if any, from the Issuer Company/ Registrar/ Asset Management Company/ Mutual Fund and subsequently credit the same to Client's Bank account, after set-off/ adjustment of dues payable by the Client on account of obligations incurred in connection with the application.
 - 39) The Client further agrees that 360 ONE DSL shall not be held responsible for non-allotment of securities either fully or partly to Client, for any reason whatsoever. 360 ONE DSL shall not be held responsible in case due to some reason the bid/application/revision instructions sent by Client is not received by it, or if the bid/application/revision could not be uploaded to the Stock Exchange, or could not be sent to the Bankers/Registrar to the issue.
 - 40) 360 ONE DSL shall not be held responsible for non-receipt/delay in/incorrect receipt of fund, if any, from the Registrar/ Company. 360 ONE DSL shall not be held responsible for incorrect Tax Deduction at Source (TDS) by the Registrar/company, if applicable, or for nonreceipt or delay in/ incorrect receipt of TDS Certificate, if any from the Registrar/Company/ Mutual Fund.

- 41) 360 ONE DSL shall not be liable for any loss or damage caused by reason of failure or delay of the mutual fund to deliver any units purchased even though payment has been made for the same or failure or delay in making payment in respect of any sold though they may have been delivered.
- 42) The Client understands that the corporate actions including but not limited to Dividends, declared by the Issuer Company/Mutual Fund shall be directly paid by the Issuer Company/Mutual Fund to the Client's bank account as detail provided by the Client.
- 43) 360 ONE DSL shall also not be liable to the Client for any delay, failure or refusal of the Mutual Fund/any Issuer Company / Corporation or other body in registering or transferring units to the names of the Clients of for any interest, dividend or other loss caused to the Client arising therefrom.
- 44) The Client agrees to provide 360 ONE DSL with any confirmation/ declaration or any other document that the concerned Issuer/Asset Management Company or any other entity may from time to time require 360 ONE DSL to collect from the Client in respect of the services offered under this agreement.
- 45) 360 ONE DSL shall not be responsible for any changes in the data of any scheme as carried out in the Offer document or any other documents/ material issued by Asset Management company/ Issuer Company/Mutual Fund.
- 46) 360 ONE DSL does not accept any liability for delay in processing time at the Mutual Fund's/Issuer or Registrar's end. The Client agrees that 360 ONE DSL shall not be liable or responsible for the non-execution of any transactions for any reason, whatsoever.
- 47) Neither 360 ONE DSL, nor any of the Mutual Funds/nor the issuer shall be liable for any failure to perform its obligations, to the extent that such performance had been delayed, hindered or prevented by systems failures network errors, delay or loss of data due to the aforesaid, acts of God, floods, epidemics, quarantine, riot or civil commotion and war.
- 48) The Client agrees and understands that the application in Mutual Fund/Initial Public Offering shall be subject to the applicable Acts, Rules, Regulations, guidelines, circulars, notifications, and directives issued by the Regulatory Authorities and Offer Document issued by the respective Mutual Fund/ Issuer.
- 49) The Client further understands and agrees that he/she shall not place trades at unrealistic prices from current market price of the security or trade in illiquid securities which create artificial liquidity or amounts to manipulation of prices or cross/synchronized trades.
- 50) 360 ONE DSL shall provide its services on a best efforts basis. However, 360 ONE DSL shall not be liable for any failure or for any loss, damage or other costs arising in any way out of:
- System failure including failure of ancillary or associated systems, or fluctuation of power, or other acts of God/force majeure;
 - Accident, transportation, neglect, misuse, errors, frauds on the part of the Client or any agent of the Client or agents or any third party, or
 - Any fault in any attachments or associated equipment's of the Client
 - Any incidental, special or consequential damages including without limitation of loss of profit.
- 51) In the event of disputes, differences, claims and questions between the Parties arising out of these Terms and Conditions or in any way relating hereto or to any provision hereof or the construction or interpretation thereof, the Parties shall first endeavor to settle the same by friendly consultation and, failing such settlement, Both the parties agree to refer any claims and/or disputes to arbitration as per the Rules, Byelaws and Regulations of the Exchange/ regulators and circulars issued thereunder in accordance with the provisions of the Indian Arbitration and Conciliation Act, 1996. The language of Arbitration shall be English. The award of the arbitrator shall be final and binding.
- 52) Both parties agree to undertake online conciliation and/or online arbitration by participating in the ODR Portal and/or undertaking dispute resolution in the manner specified in the SEBI circular no. SEBI/HO/OIAE/OIAE_IAD-1/P/CIR/2023/131 dated July 31, 2023, on "Online Resolution of Disputes in the Indian Securities Market".
- 53) The Client understand that all disputes and differences arising out of, under or in connection with these terms and conditions or anything done hereunder shall be within the exclusive jurisdiction only to the courts of Mumbai. Further these terms and conditions are subject to and shall be construed in accordance with the laws prevalent in India.
- 54) The parties understand that in case of gross negligence, or wilful default by either party, the party guilty of gross negligence or wilful default shall indemnify the other party for, any and all costs, charges, expenses, claims, losses or liabilities of any nature (direct or indirect) including reasonable legal fees and expenses incurred and/or suffered by other party resulting from any act of omission/commission (or any delay) committed by the party guilty of such gross negligence or wilful default.
- 55) The Client confirms that he/she has read and understood disclosures relating to commissions on mutual fund distribution activities, which are made are available on our website www.360.one. He/She is also aware that 360 ONE Mutual Fund is sponsored by 360 ONE DSL & subsidiary of 360 ONE DSL manages AIF's and VC fund launched by 360 ONE group.
- 56) The Client confirms that the said distribution arrangement is a purely executionary arrangement, without any product recommendation or advice. For any product recommendation or advice the Client may seek services of the Company's Advisory Unit which is distinct and segregated.
- 57) I/We expressly agree and acknowledge that any information contained in the 360 ONE DSL Brochures or other materials or otherwise communicated by 360 ONE DSL shall not be constructed by me/us as investment advice and that all decisions to purchase or sell units/securities made by me/us shall be on the basis of my/our own personal judgment arrived at after due consideration. I/We understand and agree that the 360 ONE DSL does not in any manner:
- Provide any Buy/sell or other recommendations; or
 - Guarantee payments on any units/Security; or
 - Guarantee liquidity of any units/Security; or
 - Make any offer to buy back any units/Security; or
 - Guarantee the redemption or repayment of any units on maturity; or
 - Guarantee the payments of interest or dividend; or
 - Promise, indicate or guarantee any returns; or
- If notwithstanding anything stated herein 360 ONE DSL or any employee
- 58) Registration granted by SEBI and certification from NISM in no way guarantee performance of the intermediary or provide any assurance of returns to investors.

I/We agree to the above mentioned terms and conditions as per my/our signatures affixed on page no 09 of this form.

NOTES FOR NOMINATION - DEMAT & BROKING

1. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non Individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly, all joint holders will sign the nomination form.
2. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
3. The Nominee(s) shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
4. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
5. Transfer of securities in favour of a Nominee(s) shall be valid discharge by the depository and the Participant against the legal heir.
6. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non Individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
7. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee(s).
8. Nomination can be made up to three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
9. On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the FORM 10 at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees.
10. Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure D.
11. Savings bank account details shall only be considered if the account is maintained with the same participant.
12. DP ID and client ID shall be provided where demat details is required to be provided.

INSTRUCTIONS FOR NOMINATION - MUTUAL FUNDS

1. The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
2. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate.
3. Nomination is not allowed in a folio of a Minor unitholder.
4. If the units are held jointly (i.e., in case of multiple unitholders in the folio), all joint holders need to sign the Nomination Form (even if the mode of holding/operation is on "Anyone or Survivor" basis).
5. A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee needs to be provided.
6. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
7. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder.
8. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
9. Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the total percentage of allocation amongst multiple nominees does not add up to 100%, the nomination request shall be treated as invalid and rejected. If the percentage of allocation/ share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees.
10. Every new nomination for a folio/account shall overwrite the existing nomination, if any.
11. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.
12. Nomination shall stand rescinded upon the transfer of units.
13. Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed equally amongst the surviving nominees.
14. Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).
15. Cancellation of Nomination: Request for cancellation of Nomination made can be made only by the unitholders. The nomination shall stand rescinded on cancellation of the nomination and the AMC shall not be under any obligation to transfer / transmit the units in favour of the Nominee.
16. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.

Most Important Terms and Conditions (MITC)

(For non-custodial settled trading accounts)

1. Your trading account has a “Unique Client Code” (UCC), different from your demat account number.
Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker’s Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

First holder signature _____

ACKNOWLEDGEMENT

From:
360 ONE Distribution Services Ltd.
360 ONE Centre, Kamala City,
Senapati Bapat Marg,
Lower Parel Mumbai – 400013
DP Id: 12083300 / In304158

Received the application from Mr/Ms. _____ as the sole/first holder along with _____ and _____ as the second and third holders respectively for opening of a trading, depository and distribution account and received nomination form in the name of _____
_____. Please quote the DP ID & Client ID allotted to you in all your future correspondence.

Date _____

Participant Stamp & Signature



REGD. OFF. : 360 ONE Centre, Kamala City, Senapati Bapat Marg,
Lower Parel Mumbai 400 013, India.

Designated Director : Anu Jain

Email : brokingcompliance@360.one

TEL. : (+91-22) 4876 5600

Compliance Officer : Dinesh Tanwar

Email : dinesh.tanwar@360.one

CORP. OFF. : 8th Floor, 360 ONE Centre, Kamala City, Senapati Bapat Marg,
Lower Parel (W), Mumbai 400 013, India.

TEL. : (+91-22) 4876 5600

EMAIL : clientservicing@360.one

Investor Grievance : IG@360.one

WEBSITE : www.360.one

360 ONE Distribution Services Ltd.

SEBI Reg. No. INZ000296339

Member of the National Stock Exchange of India Limited ("NSE") and
BSE Limited ("BSE") on the Cash, F&O, CDS, WDM, SLBS

Member Code NSE: 90070 BSE: 6633

Member of Multi Commodity Exchange of India Ltd (MCX) &
National Commodity & Derivatives Exchange Limited (NCDEX)

Member Code MCX: 55860 NCDEX: F01239

Depository - NSDL & CDSL - SEBI Reg. No. IN-DP-573-2021. U45201MH1995PLC228043

Research Analyst: SEBI Regn. No. INH000011431

RM NOTE AND CONFIRMATION

1. PERSONAL DETAILS

Client Name _____

Family Details New Existing* (*If Existing, please confirm the following)

Family Name _____ FRN _____

Do we map this client as the Head of family? Yes No Would you like to map held away assets as well? Yes No

2. CLIENT RISK CATEGORISATION UNDER PMLA Low Medium High

3. WHERE DID YOU GET THE REFERENCE OF THIS CLIENT?

Self-Acquired Existing Client *(if applicable please help with the name of the existing client as well)*

Acquisition Lead Any other source other than the above; Please Specify: _____

4. CLIENT BACKGROUND (Please provide a brief about the client in 2-3 lines)

5. OTHER DETAILS

Expected AUM (Rs. in Crs) _____

Product of High Interest Direct Equity Mutual Funds Structured Products Bonds & Other Debts
 Managed Accounts (Discretionary PMS) Commodities

Clients preferences (select from below:)

Research Report Yes No Regulatory Communication Yes No Gift Yes No Product Update/Launches Yes No
 Corporate Communication Yes No Greetings Yes No

6. SUBMISSION CHECKLIST

- 1. Complete Documentation Received as mention below: Yes No
- 1.1 Self attested clear Pan Card and valid address proof copy Yes No
- 1.2 Cancelled cheque copy (attested bank statement or passbook if cheque is not personalised) Yes No
- 1.3 Duly filled Nomination form Yes No
- 1.4 Client Master List of Demat account Yes No
- 1.5 Financial Details (for last 2 years) Yes No

7. "VERIFIED WITH ORIGINAL" STAMP AFFIXED ON PROOFS Yes No

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the employee			
Signature			

8. SOURCE RM (PRIMARY RM)

Name _____ Emp.Code _____

9. RM 2 (EQUITY RM/SUPPORTING RM)

Name _____ Emp.Code _____

10. SERVICE RM

Name _____ Emp.Code _____

11. INVESTMENT COUNSELLOR

Name _____ Emp.Code _____

12. DECLARATION

I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), Risk Disclosure Document and Guidance Note.I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the nonmandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on our website, if any, for the information of the clients.

I have read and understood that if any of the requirements is not fulfilled then a penalty would be charged to me or any other action may be taken as deemed fit by the Management for noncompliance from my end.

Salesforce ID _____

Name of the Employee of 360 ONE Group _____ Emp. Code _____

Employee Signature & Stamp _____ Date _____

TOUCH POINT DETAILS

(i.e., Owner/ Promoter in case of Non-individual entity and family head in case of an individual)

1

Prefix Dr. Mr. Mrs. Ms. Other Gender Male Female

Name _____

Address _____

City _____ Pin Code _____ Country _____

Email _____

Mobile No. _____ Tel (Off) _____

Organization _____

Designation _____

Industry Type _____ Sub Industry _____

Date of Birth _____ Marital Status _____

Name of Spouse _____

DOB of Spouse _____ Anniversary Date _____

Relationship with head of family _____

2

Prefix Dr. Mr. Mrs. Ms. Other Gender Male Female

Name _____

Address _____

City _____ Pin Code _____ Country _____

Email _____

Mobile No. _____ Tel (Off) _____

Organization _____

Designation _____

Industry Type _____ Sub Industry _____

Date of Birth _____ Marital Status _____

Name of Spouse _____

DOB of Spouse _____ Anniversary Date _____

Relationship with head of family _____

3

Prefix Dr. Mr. Mrs. Ms. Other Gender Male Female

Name _____

Address _____

City _____ Pin Code _____ Country _____

Email _____

Mobile No. _____ Tel (Off) _____

Organization _____

Designation _____

Industry Type _____ Sub Industry _____

Date of Birth _____ Marital Status _____

Name of Spouse _____

DOB of Spouse _____ Anniversary Date _____

Relationship with head of family _____